

# 1 Grundy Distinguishes Treewidth from Pathwidth

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## 17 Abstract

18 Structural graph parameters, such as treewidth, pathwidth, and clique-width, are a central topic  
19 of study in parameterized complexity. A main aim of research in this area is to understand the  
20 “price of generality” of these widths: as we transition from more restrictive to more general notions,  
21 which are the problems that see their complexity status deteriorate from fixed-parameter tractable  
22 to intractable? This type of question is by now very well-studied, but, somewhat strikingly, the  
23 algorithmic frontier between the two (arguably) most central width notions, treewidth and pathwidth,  
24 is still not understood: currently, no natural graph problem is known to be  $W$ -hard for one but FPT  
25 for the other. Indeed, a surprising development of the last few years has been the observation that  
26 for many of the most paradigmatic problems, their complexities for the two parameters actually  
27 coincide exactly, despite the fact that treewidth is a much more general parameter. It would thus  
28 appear that the extra generality of treewidth over pathwidth often comes “for free”.

29 Our main contribution in this paper is to uncover the first natural example where this generality  
30 comes with a high price. We consider **GRUNDY COLORING**, a variation of coloring where one seeks  
31 to calculate the worst possible coloring that could be assigned to a graph by a greedy First-Fit  
32 algorithm. We show that this well-studied problem is FPT parameterized by pathwidth; however, it  
33 becomes significantly harder ( $W[1]$ -hard) when parameterized by treewidth. Furthermore, we show  
34 that **GRUNDY COLORING** makes a second complexity jump for more general widths, as it becomes  
35 para-NP-hard for clique-width. Hence, **GRUNDY COLORING** nicely captures the complexity trade-offs  
36 between the three most well-studied parameters. Completing the picture, we show that **GRUNDY**  
37 **COLORING** is FPT parameterized by modular width.

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42 **1** Introduction

43 The study of the algorithmic properties of *structural graph parameters* has been one of the  
 44 most vibrant research areas of parameterized complexity in the last few years. In this area  
 45 we consider graph complexity measures (“graph width parameters”), such as treewidth, and  
 46 attempt to characterize the class of problems which become tractable for each notion of  
 47 width. The most important graph widths are often comparable to each other in terms of  
 48 their generality. Hence, one of the main goals of this area is to understand which problems  
 49 separate two comparable parameters, that is, which problems transition from being FPT for  
 50 a more restrictive parameter to W-hard for a more general one<sup>1</sup>. This endeavor is sometimes  
 51 referred to as determining the “price of generality” of the more general parameter.

52 The two most widely studied graph widths are probably treewidth and pathwidth, which  
 53 have an obvious containment relationship to each other. Despite this, to the best of our  
 54 knowledge, no natural problem is currently known to delineate their complexity border in the  
 55 sense we just described. Our main contribution is exactly to uncover a natural, well-known  
 56 problem which fills this gap. Specifically, we show that GRUNDY COLORING, the problem  
 57 of ordering the vertices of a graph to maximize the number of colors used by the First-Fit  
 58 coloring algorithm, is FPT parameterized by pathwidth, but W[1]-hard parameterized by  
 59 treewidth. We then show that GRUNDY COLORING makes a further complexity jump if one  
 60 considers clique-width, as in this case the problem is para-NP-complete. Hence, GRUNDY  
 61 COLORING turns out to be an interesting specimen, nicely demonstrating the algorithmic  
 62 trade-offs involved among the three most central graph widths.

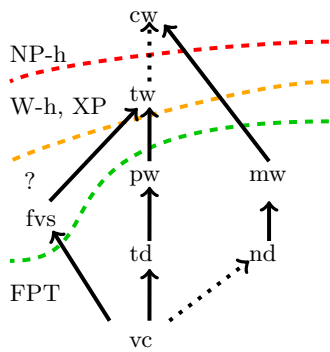
63 **Graph widths and the price of generality.** Much of modern parameterized complexity  
 64 theory is centered around studying graph widths, especially treewidth and its variants. In  
 65 this paper we focus on the parameters summarized in Figure 1, and especially the parameters  
 66 that form a linear hierarchy, from vertex cover, to tree-depth, pathwidth, treewidth, and  
 67 clique-width. Each of these parameters is a strict generalization of the previous ones in  
 68 this list. On the algorithmic level we would expect this relation to manifest itself by the  
 69 appearance of more and more problems which become *intractable* as we move towards the  
 70 more general parameters. Indeed, a search through the literature reveals that for each step  
 71 in this list of parameters, several *natural* problems have been discovered which distinguish  
 72 the two consecutive parameters (we give more details below). The one glaring exception to  
 73 this rule seems to be the relation between treewidth and pathwidth.

74 Treewidth is a parameter of central importance to parameterized algorithmics, in part  
 75 because wide classes of problems (notably all MSO<sub>2</sub>-expressible problems [19]) are FPT  
 76 for this parameter. Treewidth is usually defined in terms of tree decompositions of graphs,  
 77 which naturally leads to the equally well-known notion of pathwidth, defined by forcing  
 78 the decomposition to be a path. On a graph-theoretic level, the difference between the two  
 79 notions is well-understood and treewidth is known to describe a much richer class of graphs.  
 80 In particular, while all graphs of pathwidth  $k$  have treewidth at most  $k$ , there exist graphs of  
 81 constant treewidth (in fact, even trees) of unbounded pathwidth. Naturally, one would expect  
 82 this added richness of treewidth to come with some negative algorithmic consequences in  
 83 the form of problems which are FPT for pathwidth but W-hard for treewidth. Furthermore,  
 84 since treewidth and pathwidth are probably the most studied parameters in our list, one

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<sup>1</sup> We assume the reader is familiar with the basics of parameterized complexity theory, such as the classes FPT and W[1], as given in standard textbooks [22].

85 might expect the problems that distinguish the two to be the first ones to be discovered.  
 86 Nevertheless, so far this (surprisingly) does not seem to have been the case: on the one  
 87 hand, FPT algorithms for pathwidth are DPs which also extend to treewidth; on the other  
 88 hand, we give (in the appendix) a semi-exhaustive list of dozens of natural problems which are  
 89  $W[1]$ -hard for treewidth and turn out without exception to also be hard for pathwidth. In fact,  
 90 even when this is sometimes not explicitly stated in the literature, the same reduction that  
 91 establishes  $W$ -hardness by treewidth also does so for pathwidth. Intuitively, an explanation  
 92 for this phenomenon is that the basic structure of such reductions typically resembles a  $k \times n$   
 93 (or smaller) grid, which has both treewidth and pathwidth bounded by  $k$ .  
 94 Our main motivation in this paper is to take a closer look at the algorithmic barrier  
 95 between pathwidth and treewidth and try to locate a natural (that is, not artificially contrived)  
 96 problem whose complexity transitions from FPT to  $W$ -hard at this barrier. Our main result  
 97 is the proof that GRUNDY COLORING is such a problem. This puts in the picture the  
 98 last missing piece of the puzzle, as we now have natural problems that distinguish the  
 99 parameterized complexity of any two consecutive parameters in our main hierarchy.



Parameter	Result	Ref
Clique-width	para-NP-hard	Theorem 22
Treewidth	$W[1]$ -hard	Theorem 13
Pathwidth	FPT	Theorem 17
Modular Width	FPT	Theorem 23

In the figure, clique-width, treewidth, pathwidth, tree-depth, vertex cover, feedback vertex set, neighborhood diversity, and modular-width are indicated as cw, tw, pw, td, vc, fvs, nd, and mw respectively. Arrows indicate more general parameters. Dotted arrows indicate that the parameter may increase exponentially, (e.g. graphs of vc  $k$  have nd at most  $2^k + k$ ).

■ **Figure 1** Summary of considered graph parameters and results.

100 **Grundy Coloring.** In the GRUNDY COLORING problem we are given a graph  $G = (V, E)$   
 101 and are asked to order  $V$  in a way that maximizes the number of colors used by the greedy  
 102 (First-Fit) coloring algorithm. The notion of Grundy coloring was first introduced by Grundy  
 103 in the 1930s, and later formalized in [18]. Since then, the complexity of GRUNDY COLORING  
 104 has been very well-studied (see [1, 3, 15, 31, 44, 46, 52, 55, 73, 75, 78, 79, 80] and the  
 105 references therein). For the natural parameter, namely the number of colors to be used,  
 106 Grundy coloring was recently proved to be  $W[1]$ -hard in [1]. An XP algorithm for GRUNDY  
 107 COLORING parameterized by treewidth was given in [75], using the fact that the Grundy  
 108 number of any graph is at most  $\log n$  times its treewidth. In [14] Bonnet et al. explicitly  
 109 asked whether this can be improved to an FPT algorithm. They also observed that the  
 110 problem is FPT parameterized by vertex cover. It appears that the complexity of GRUNDY  
 111 COLORING parameterized by pathwidth was never explicitly posed as a question and it was  
 112 not suspected that it may differ from that for treewidth. We note that, since the problem  
 113 (as given in Definition 1) is easily seen to be  $MSO_1$  expressible for a fixed Grundy number, it  
 114 is FPT for all considered parameters if the Grundy number is also a parameter [20], so we  
 115 intuitively want to concentrate on cases where the Grundy number is large.

116 **Our results.** Our results illuminate the complexity of GRUNDY COLORING parameterized  
 117 by pathwidth and treewidth, as well as clique-width and modular-width. More specifically:

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- 118 1. We show that GRUNDY COLORING is  $W[1]$ -hard parameterized by treewidth via a  
119 reduction from  $k$ -MULTI-COLORED CLIQUE. The main building block of our reduction  
120 is the structure of binomial trees, which have treewidth one but unbounded pathwidth,  
121 which explains the complexity jump between the two parameters. As mentioned, an XP  
122 algorithm is known in this case [75], so this result is in a sense tight.
- 123 2. We show that GRUNDY COLORING is FPT parameterized by pathwidth. Our main tool  
124 here is a combinatorial lemma, which draws heavily from known combinatorial bounds on  
125 the performance of First-Fit coloring on intervals graphs [53, 65]. We use this lemma to  
126 show that on any graph the Grundy number is at most a linear function of the pathwidth.
- 127 3. We show that GRUNDY COLORING is para-NP-complete parameterized by clique-width,  
128 that is, NP-complete for graphs of constant clique-width (specifically, clique-width 6).
- 129 4. We show that GRUNDY COLORING is FPT parameterized by neighborhood diversity [56]  
130 and leverage this result to obtain an FPT algorithm by modular-width [38].

131 Our main interest is concentrated in the first two results, which achieve our goal of finding  
132 a natural problem distinguishing pathwidth from treewidth. The result for clique-width  
133 nicely fills out the picture by giving an intuitive view of the evolution of the complexity of  
134 the problem and showing that in a case where no non-trivial bound can be shown on the  
135 optimal value, the problem becomes hopelessly hard from the parameterized point of view.

136 **Other related work.** Let us now give a brief survey of “price of generality” results involving  
137 our considered parameters, that is, results showing that a problem is efficient for one  
138 parameter but hard for a more general one. In this area, the results of Fomin et al. [35],  
139 introducing the term “price of generality”, have been particularly impactful. This work and  
140 its follow-ups [36, 37], were the first to show that four natural graph problems (COLORING,  
141 EDGE DOMINATING SET, MAX CUT, HAMILTONICITY) which are FPT for treewidth, become  
142  $W[1]$ -hard for clique-width. In this sense, these problems, as well as problems discovered later  
143 such as counting perfect matchings [21], SAT [68, 24],  $\exists\forall$ -SAT [59], ORIENTABLE DELETION  
144 [45], and  $d$ -REGULAR INDUCED SUBGRAPH [17], form part of the “price” we have to pay for  
145 considering a more general parameter. This line of research has thus helped to illuminate the  
146 complexity border between the two most important sparse and dense parameters (treewidth  
147 and clique-width), by giving a list of *natural* problems distinguishing the two. (An artificial  
148  $MSO_2$ -expressible such problem was already known much earlier [20, 58]).

149 Let us now focus in the area below treewidth in Figure 1 by considering problems which  
150 are in XP but  $W[1]$ -hard parameterized by treewidth. By now, there is a small number of  
151 problems in this category which are known to be  $W[1]$ -hard even for vertex cover: LIST  
152 COLORING [32] was the first such problem, followed by CSP (for the vertex cover of the  
153 dual graph) [70], and more recently by  $(k, r)$ -CENTER,  $d$ -SCATTERED SET, and MIN POWER  
154 STEINER TREE [49, 48, 50] on weighted graphs. Intuitively, it is not surprising that problems  
155  $W[1]$ -hard by vertex cover are few and far between, since this is a very restricted parameter.  
156 Indeed, for most problems in the literature which are  $W[1]$ -hard by treewidth, vertex cover is  
157 the only parameter (among the ones considered here) for which the problem becomes FPT.

158 A second interesting category are problems which are FPT for tree-depth ([66]) but  
159  $W[1]$ -hard for pathwidth. MIXED CHINESE POSTMAN PROBLEM was the first discovered  
160 problem of this type [43], followed by MIN BOUNDED-LENGTH CUT [26, 10], ILP [40],  
161 GEODETIC SET [51] and unweighted  $(k, r)$ -CENTER and  $d$ -SCATTERED SET [49, 48].

162 Let us also mention in passing that the algorithmic differences of pathwidth and treewidth  
163 may also be studied in the context of problems which are hard for constant treewidth. Such  
164 problems also generally remain hard for constant pathwidth (examples are STEINER FOREST

165 [42], BANDWIDTH [64], MINIMUM MCUT [41]). One could also potentially try to distinguish  
 166 between pathwidth and treewidth by considering the parameter dependence of a problem  
 167 that is FPT for both. Indeed, for a long time the best-known algorithm for DOMINATING  
 168 SET had complexity  $3^k$  for pathwidth, but  $4^k$  for treewidth. Nevertheless, the advent of fast  
 169 subset convolution techniques [77], together with tight SETH-based lower bounds [60] has,  
 170 for most problems, shown that the complexities on the two parameters coincide exactly.

171 Finally, let us mention a case where pathwidth and treewidth have been shown to be  
 172 quite different in a sense similar to our framework. In [69] Razgon showed that a CNF can be  
 173 compiled into an OBDD (Ordered Binary Decision Diagram) of size FPT in the pathwidth  
 174 of its incidence graphs, but there exist formulas that always need OBDDs of size XP in the  
 175 treewidth. Although this result does separate the two parameters, it is somewhat adjacent  
 176 to what we are looking for, as it does not speak about the complexity of a decision problem,  
 177 but rather shows that an OBDD-producing algorithm parameterized by treewidth would  
 178 need XP time simply because it would have to produce a huge output in some cases.

## 179 2 Definitions and Preliminaries

180 For non-negative integers  $i, j$ , we use  $[i, j]$  to denote the set  $\{k \mid i \leq k \leq j\}$ . Note that if  
 181  $j > i$ , then the set  $[i, j]$  is empty. We will also write simply  $[i]$  to denote the set  $[1, i]$ .

182 We give two equivalent definitions of our main problem.

183 ► **Definition 1.** *A  $k$ -Grundy Coloring of a graph  $G = (V, E)$  is a partition of  $V$  into  $k$   
 184 non-empty sets  $V_1, \dots, V_k$  such that: (i) for each  $i \in [k]$  the set  $V_i$  induces an independent  
 185 set; (ii) for each  $i \in [k - 1]$  the set  $V_i$  dominates the set  $\bigcup_{i < j \leq k} V_j$ .*

186 ► **Definition 2.** *A  $k$ -Grundy Coloring of a graph  $G = (V, E)$  is a proper  $k$ -coloring  $c : V \rightarrow [k]$   
 187 that results by applying the First-Fit algorithm on an ordering of  $V$ , where each vertex is  
 188 assigned the minimum color that is not assigned to any of its previously colored neighbors.*

189 The Grundy number of a graph  $G$ , denoted by  $\Gamma(G)$ , is the maximum  $k$  such that  $G$   
 190 admits a  $k$ -Grundy Coloring. In a given Grundy Coloring, if  $u \in V_i$  (equiv. if  $c(u) = i$ )  
 191 we will say that  $u$  was given color  $i$ . The GRUNDY COLORING problem is the problem of  
 192 determining the maximum  $k$  for which a graph  $G$  admits a  $k$ -Grundy Coloring. It is not  
 193 hard to see that a proper coloring is a Grundy coloring if and only if every vertex assigned  
 194 color  $i$  has at least one neighbor assigned color  $j$ , for each  $j < i$ .

## 195 3 W[1]-Hardness for Treewidth

196 In this section we prove that GRUNDY COLORING parameterized by treewidth is W[1]-hard  
 197 (Theorem 13). Our proof relies on a reduction from  $k$ -MULTI-COLORED CLIQUE and initially  
 198 establishes W[1]-hardness for a more general problem where we are given a target color  
 199 for a set of vertices (Lemma 8); we then reduce this to GRUNDY COLORING. Interestingly,  
 200 this intermediate problem turns out to be W[1]-hard even for pathwidth (Lemma 9), since  
 201 our reduction uses the standard strategy of constructing a grid-like structure of dimensions  
 202  $k \times n$ . The reason this reduction fails to prove that GRUNDY COLORING is W[1]-hard by  
 203 pathwidth is that we use some gadgets to implement the targets and a support operation  
 204 (which “pre-colors” some vertices) and for these gadgets we use trees of unbounded pathwidth.  
 205 The results of Section 4 show that this is essential: our reduction *needs* some part that causes  
 206 it to have high pathwidth, otherwise the Grundy number of the constructed graph would be  
 207 bounded by the parameter, resulting in an instance that can be solved in FPT time.

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208 Let us now present the different parts of our construction. We will make use of the  
209 structure of binomial trees  $T_i$ .

210 ► **Definition 3.** *The binomial tree  $T_i$  with root  $r_i$  is a rooted tree defined recursively in  
211 the following way:  $T_1$  consists simply of its root  $r_1$ ; in order to construct  $T_i$  for  $i > 1$ , we  
212 construct one copy of  $T_j$  for all  $j < i$  and connect  $r_j$  with  $r_i$ . An alternative equivalent  
213 definition of the binomial tree  $T_i$ ,  $i \geq 2$  is that we construct two trees  $T_{i-1}$ ,  $T'_{i-1}$ , we connect  
214 their roots  $r_{i-1}$ ,  $r'_{i-1}$  and select one of them as the new root  $r_i$ .*

215 ► **Proposition 4.** *Let  $T_i$  be a binomial tree and  $t < i$ . There exist  $2^{i-t-1}$  vertex-disjoint  
216 subtrees  $T_t$  in  $T_i$ , where no  $T_t$  contains the root  $r_i$  of  $T_i$ .*

217 ► **Proposition 5.**  $\Gamma(T_i) \leq i$ . Furthermore, for all  $j \leq i$  there exists a Grundy coloring which  
218 assigns color  $j$  to the root of  $T_i$ .

219 We now define a generalization of the Grundy coloring problem with target colors and  
220 show that it is W[1]-hard parameterized by treewidth. We later describe how to reduce this  
221 problem to GRUNDY COLORING such that the treewidth does not increase by a lot.

222 ► **Definition 6 (GRUNDY COLORING WITH TARGETS).** *We are given a graph  $G(V, E)$ , an  
223 integer  $t \in \mathbb{N}$  called the target and a subset  $S \subset V$ . (For simplicity we will say that vertices  
224 of  $S$  have target  $t$ .) We say that  $G$  admits a Target-achieving Grundy Coloring if there exists  
225 a Grundy Coloring which assigns to all vertices of  $S$  color  $t$ .*

226 We will also make use of the following operation:

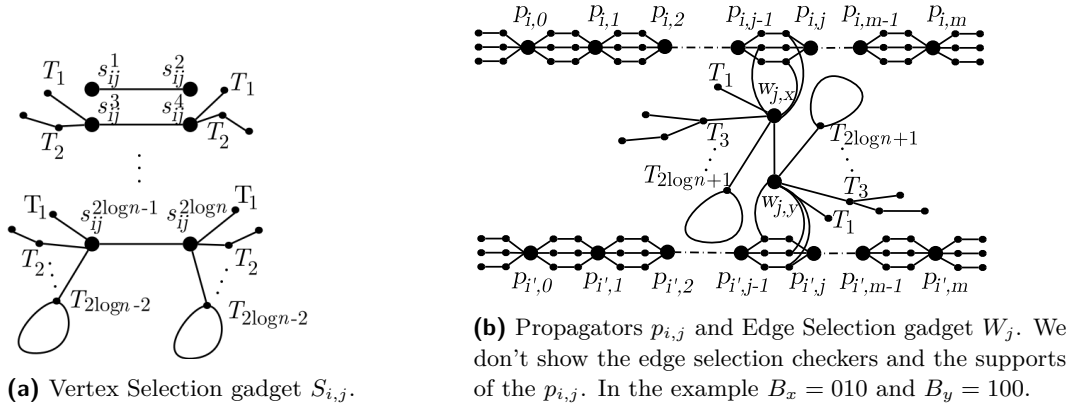
227 ► **Definition 7 (Tree-support.).** *Given a graph  $G = (V, E)$ , a vertex  $u \in V$  and a set  $N$  of  
228 positive integers, we define the tree-support operation as follows: (a) for all  $i \in N$  we add a  
229 copy of  $T_i$  in the graph; (b) we connect  $u$  to the root  $r_i$  of each of the  $T_i$ . We say that we add  
230 supports  $N$  on  $u$ . The trees  $T_i$  will be called the supporting trees or supports of  $u$ . Slightly  
231 abusing notation, we also call supports the numbers  $i \in N$ .*

232 Intuitively, the tree-support operation ensures that vertex  $u$  may have at least one  
233 neighbor of color  $i$  for each  $i \in N$  in a Grundy coloring, and thus increase the color  $u$  can  
234 take. Observe that adding supporting trees to a vertex does not increase the treewidth, but  
235 does increase the pathwidth (binomial trees have unbounded pathwidth).

236 Our reduction is from  $k$ -MULTI-COLORED CLIQUE: given a  $k$ -multipartite graph  $G =$   
237  $(V_1, V_2, \dots, V_k, E)$ , decide if for every  $i \in [k]$  we can pick  $u_i \in V_i$  forming a clique, where  $k$  is  
238 the parameter. We can also assume that  $\forall i \in [k], |V_i| = n$ , that  $n$  is a power of 2, and that  
239  $V_i = \{v_{i,0}, v_{i,1}, \dots, v_{i,n-1}\}$ . Furthermore, let  $|E| = m$ . We construct an instance of GRUNDY  
240 COLORING WITH TARGETS  $G' = (V', E')$  and  $t = 2 \log n + 4$  (where all logarithms are base  
241 two) using the following gadgets:

242 **Vertex selection  $S_{i,j}$ .** See Figure 2a. This gadget consists of  $2 \log n$  vertices  $S_{i,j}^1 \cup S_{i,j}^2 =$   
243  $\bigcup_{l \in [\log n]} \{s_{i,j}^{2l-1}\} \cup \bigcup_{l \in [\log n]} \{s_{i,j}^{2l}\}$ , where for each  $l \in [\log n]$  we connect vertex  $s_{i,j}^{2l-1}$  to  $s_{i,j}^{2l}$   
244 thus forming a matching. Furthermore, for each  $l \in [2, \log n]$ , we add supports  $[2l - 2]$  to  
245 vertices  $s_{i,j}^{2l-1}$  and  $s_{i,j}^{2l}$ . Observe that the vertices  $s_{i,j}^{2l-1}$  and  $s_{i,j}^{2l}$  together with their supports  
246 form a binomial tree  $T_{2l}$ . We construct  $k(m+2)$  gadgets  $S_{i,j}$ , one for each  $i \in [k]$ ,  $j \in [0, m+1]$ .

247 The vertex selection gadget  $S_{i,1}$  encodes in binary the vertex that is selected in the clique  
248 from  $V_i$ . In particular, for each pair  $s_{i,1}^{2l-1}, s_{i,1}^{2l}$ ,  $l \in [\log n]$  either of these vertices can take the  
249 maximum color in an optimal Grundy coloring of the binomial tree  $T_{2l}$  (that is, a coloring  
250 that gives the root of the binomial tree  $T_{2l}$  color  $2l$ ). A selection corresponds to bit 0 or 1  
251 for the  $l^{\text{th}}$  binary position. In order to ensure that for each  $j \in [m]$  all (middle)  $S_{i,j}$  encode  
252 the same vertex, we use propagators.



(a) Vertex Selection gadget  $S_{i,j}$ .

(b) Propagators  $p_{i,j}$  and Edge Selection gadget  $W_j$ . We don't show the edge selection checkers and the supports of the  $p_{i,j}$ . In the example  $B_x = 010$  and  $B_y = 100$ .

■ **Figure 2** The gadgets. Figure 2a is an enlargement of Figure 2b between  $p_{i,j-1}$  and  $p_{i,j}$ .

253 **Propagators  $p_{i,j}$ .** See Figure 2b. For  $i \in [k]$  and  $j \in [0, m]$ , a propagator  $p_{i,j}$  is a single  
 254 vertex connected to all vertices of  $S_{i,j}^2 \cup S_{i,j+1}^1$ . To each  $p_{i,j}$ , we also add supports  $\{2 \log n +$   
 255  $1, 2 \log n + 2, 2 \log n + 3\}$ . The propagators have target  $t = 2 \log n + 4$ .

256 **Edge selection  $W_j$ .** See Figure 2b. Let  $j = (v_{i,x}, v_{i',y}) \in E$ , where  $v_{i,x} \in V_i$  and  $v_{i',y} \in V_{i'}$ .  
 257 The gadget  $W_j$  consists of four vertices  $w_{j,x}, w_{j,y}, w'_{j,x}, w'_{j,y}$ . We call  $w'_{j,x}, w'_{j,y}$  the *edge*  
 258 *selection checkers*. We have the edges  $(w_{j,x}, w_{j,y}), (w'_{j,x}, w_{j,x}), (w'_{j,y}, w_{j,y})$ . Let us now  
 259 describe the connections of these vertices with the rest of the graph. Let  $B_x = b_1 b_2 \dots b_{\log n}$   
 260 be the binary representation of  $x$ . We connect  $w_{j,x}$  to each vertex  $s_{i,j}^{2l-b_1}$ ,  $l \in [\log n]$  (we do  
 261 similarly for  $w_{j,y}, S_{i',j}$ , and  $B_y$ ). We add to each of  $w_{j,x}, w_{j,y}$  supports  $\bigcup_{l \in [\log n+1]} \{2l-1\}$ .  
 262 We add to each of  $w'_{j,x}, w'_{j,y}$  supports  $[2 \log n + 3] \setminus \{2 \log n + 1\}$  and set for these two vertices  
 263 target  $t = 2 \log n + 4$ . We construct  $m$  such gadgets, one for each edge. We say that  $W_j$  is  
 264 *activated* if at least one of  $w_{j,x}, w_{j,y}$  receives color  $2 \log n + 3$ .

265 **Edge checkers  $q_{i,i'}$ .** We construct  $\binom{k}{2}$  of them, one for each pair  $(i, i'), i < i' \in [k]$ . The  
 266 edge checker is a single vertex that is connected to all vertices  $w_{j,x}$  for which  $j$  is an edge  
 267 between  $V_i$  and  $V_{i'}$ . We add supports  $[2 \log n + 1]$  and a target of  $t = 2 \log n + 4$ .

268 The edge checker plays the role of an “or” gadget: in order for it to achieve its target, at  
 269 least one of its neighboring edge selection gadgets should be activated.

270 ► **Lemma 8.**  $G$  has a clique of size  $k$  if and only if  $G'$  has a target-achieving Grundy coloring.

271 **Proof.**  $\Rightarrow$ ) Suppose that  $G$  has a clique. We color the vertices of  $G'$  in the following order:  
 272 First, we color the vertex selection gadget  $S_{i,j}$ , starting from the supports (which we color  
 273 optimally) and then color the matchings as follows: let  $v_{i,x}$  be the vertex that was selected  
 274 in the clique from  $V_i$  and  $b_1 b_2 \dots b_{\log n}$  be the binary representation of  $x$ ; we color vertices  
 275  $s_{i,j}^{2l-(1-b_l)}$ ,  $l \in [\log n]$  with color  $2l-1$  and vertices  $s_{i,j}^{2l-b_l}$ ,  $l \in [\log n]$  will receive color  $2l$ . For  
 276 the propagators, we color their supports optimally. Propagators have  $2 \log n + 3$  neighbors  
 277 each, all with different colors, so they receive color  $2 \log n + 4$ , thus achieving their targets.

278 Then, we color the edge-checkers  $q_{i,i'}$  and the edge selection gadgets  $W_j$  that correspond  
 279 to edges of the clique (that is,  $j = (v_{i,x}, v_{i',y}) \in E$  and  $v_{i,x} \in V_i, v_{i',y} \in V_{i'}$  are selected in  
 280 the clique). We first color the supports of  $q_{i,i'}, w_{j,x}, w_{j,y}$  optimally. From the construction,  
 281 vertex  $w_{j,x}$  is connected with vertices  $s_{i,j}^{2l-b_l}$  which have already been colored  $2l$ ,  $l \in [\log n]$   
 282 and with supports  $\bigcup_{l \in [\log n+1]} \{2l-1\}$ , thus  $w_{j,x}$  will receive color  $2 \log n + 2$ . Similarly  $w_{j,y}$   
 283 already has neighbors which are colored  $[2 \log n + 1]$ , but also  $w_{j,x}$ , thus it will receive color  
 284  $2 \log n + 3$ . These  $W_j$  will be activated. Since both  $w_{j,x}, w_{j,y}$  connect to  $q_{i,i'}$ , the latter will

285 be assigned color  $2 \log n + 4$ , thus achieving its target. As for  $w'_{j,x}$  and  $w'_{j,y}$ , such a vertex  
 286 has a neighbor with color  $c$  where  $c = 2 \log n + 2$  or  $c = 2 \log n + 3$ . We therefore, color the  
 287 support  $T_c$  in a way that gives its root color  $2 \log n + 1$  and color the remaining supports  
 288 optimally. This gives vertices  $w'_{j,x}, w'_{j,y}$  color  $t = 2 \log n + 4$  achieving their target.

289 Finally, for the remaining  $W_j$ , we claim that we can assign to both  $w_{j,x}, w_{j,y}$  a color that  
 290 is at least as high as  $2 \log n + 1$ . Indeed, we assign to each supporting tree  $T_r$  of  $w_{j,x}$  a coloring  
 291 that gives its root the maximum color that is  $\leq r$  and does not appear in any neighbor of  
 292  $w_{j,x}$  in the vertex selection gadget. We claim that in this case  $w_{j,x}$  will have neighbors with  
 293 all colors in  $[2 \log n]$ , because in every interval  $[2l - 1, 2l]$  for  $l \in [\log n]$ ,  $w_{j,x}$  has a neighbor  
 294 with a color in the interval and a support tree  $T_{2l+1}$ . If  $w_{j,x}$  has color  $2 \log n + 1$  then we  
 295 color the supports of  $w'_{j,x}$  optimally and achieve its target, while if  $w_{j,x}$  has color higher  
 296 than  $2 \log n + 1$ , we achieve the target of  $w'_{j,x}$  as in the previous paragraph.

297  $\Leftarrow$ ) Suppose that  $G'$  admits a coloring that achieves the target for all propagators, edge-  
 298 checkers, and edge selection checkers. We will prove the following: 1) the coloring of the  
 299 vertex selection gadgets is consistent throughout (this corresponds to a selection of  $k$  vertices  
 300 of  $G$ ); 2) that  $\binom{k}{2}$  edge selection gadgets have been activated (that correspond to  $\binom{k}{2}$  edges  
 301 of  $G$ ) and 3) if an edge selection gadget  $W_j = \{w_{j,x}, w_{j,y}\}$  with  $j = (v_{i,x}, v_{i',y})$  has been  
 302 activated then the coloring of the vertex selection gadgets  $S_{i,j}$  and  $S_{i',j}$  corresponds to the  
 303 selection of vertices  $v_{i,x}$  and  $v_{i',y}$  (selected vertices and edges form indeed a  $K_k$  in  $G$ ).

304 1) Suppose that an edge selection checker  $w'_{j,x}$  achieved its target. We claim that this  
 305 implies that  $w_{j,x}$  has color at least  $2 \log n + 1$ . Indeed,  $w'_{j,x}$  has degree  $2 \log n + 3$ , so its  
 306 neighbors must have all distinct colors in  $[2 \log n + 3]$ , but among the supports there are only  
 307 2 neighbors which may have colors in  $[2 \log n + 1, 2 \log n + 3]$ . Therefore, the missing color  
 308 must come from  $w_{j,x}$ . We now observe that vertices from the vertex selection gadgets have  
 309 color at most  $2 \log n$ , because if we exclude from their neighbors the vertices  $w_{j,x}$  (which we  
 310 argued have color at least  $2 \log n + 1$ ) and the propagators (which have target  $2 \log n + 4$ ),  
 311 these vertices have degree at most  $2 \log n - 1$ .

312 Suppose that a propagator  $p_{i,j}$  achieves its target of  $2 \log n + 4$ . Since this vertex has  
 313 a degree of  $2 \log n + 3$ , that means that all of its neighbors should receive all the colors  
 314 in  $[2 \log n + 3]$ . As argued, colors  $[2 \log n + 1, 2 \log n + 3]$  must come from the supports.  
 315 Therefore, the colors  $[2 \log n]$  come from the neighbors of  $p_{i,j}$  in the vertex selection gadgets.

316 We now note that, because of the degrees of vertices in vertex selection gadgets,  
 317 only vertices  $s_{i,j}^{2 \log n}, s_{i,j+1}^{2 \log n - 1}$  can receive colors  $2 \log n, 2 \log n - 1$ ; from the rest, only  
 318  $s_{i,j}^{2 \log n - 2}, s_{i,j+1}^{2 \log n - 3}$  can receive colors  $2 \log n - 2, 2 \log n - 3$  etc. Thus, for each  $l \in [\log n]$ ,  
 319 if  $s_{i,j}^{2l}$  receives color  $2l - 1$  then  $s_{i,j+1}^{2l-1}$  should receive color  $2l$  and vice versa. With similar  
 320 reasoning, in all vertex selection gadgets we have that  $s_{i,j}^{2l-1}, s_{i,j}^{2l}$ , since they are neighbors,  
 321 received the two colors  $\{2l - 1, 2l\}$ . As a result, the colors of  $s_{i,j+1}^{2l-1}, s_{i,j}^{2l-1}$  (and thus the colors  
 322 of  $s_{i,j+1}^{2l}, s_{i,j}^{2l}$ ) are the same, therefore, the coloring is consistent, for all values of  $j \in [m]$ .

323 2) If an edge checker achieves its target of  $2 \log n + 4$ , then at least one of its neighbors  
 324 from an edge selection gadget has received color  $2 \log n + 3$ . We know that each edge selection  
 325 gadget only connects to a unique edge checker, so there should be  $\binom{k}{2}$  edge selection gadgets  
 326 which have been activated in order for all edge checkers to achieve their target.

327 3) Suppose that an edge checker  $q_{i,i'}$  achieves its target. That means that there exists  
 328 an edge selection gadget  $W_j = \{w_{j,x}, w_{j,y}, w'_{j,x}, w'_{j,y}\}$  for which at least one of its vertices,  
 329 say  $w_{j,x}$  has received color  $2 \log n + 3$ . Let  $j$  be an edge connecting  $v_{i,x} \in V_i$  to  $v_{i',y} \in V_{i'}$ .  
 330 Since the degree of  $w_{j,x}$  is  $2 \log n + 4$  and we have already assumed that two of its neighbors  
 331 ( $q_{i,i'}$  and  $w'_{j,x}$ ) have color  $2 \log n + 4$ , in order for it to receive color  $2 \log n + 3$  all its other  
 332 neighbors should receive all colors in  $[2 \log n + 2]$ . The only possible assignment is to give



333 colors  $2l$ ,  $l \in [\log n]$  to its neighbors from  $S_{i,j}$  and color  $2 \log n + 2$  to  $w_{j,y}$ . The latter is, in  
 334 turn, only possible if the neighbors of  $w_{j,y}$  from  $S_{i',j}$  receive all colors  $2l$ ,  $l \in [\log n]$ . The  
 335 above corresponds to selecting vertex  $v_{i,x}$  from  $V_i$  and  $v_{i',y}$  from  $V_{i'}$ . ◀

336 ▶ **Lemma 9.** *Let  $G''$  be the graph that results from  $G'$  if we remove all the tree-supports.  
 337 Then  $G''$  has pathwidth at most  $\binom{k}{2} + 2k + 3$ .*

338 We will now show how to implement the targets using the tree-filling operation below.

339 ▶ **Definition 10 (Tree-filling).** *Let  $G = (V, E)$  be a graph and  $S = \{s_1, s_2, \dots, s_j\} \subset V$  a  
 340 set of vertices with target  $t$ . The tree-filling operation is the following: (a) we add in  $G$  a  
 341 binomial tree  $T_i$ , where  $i = \lceil \log j \rceil + t + 1$ ; (b) for each  $s \in S$  we find a disjoint copy of  $T_t$  in  
 342  $T_i$ , identify  $s$  with its root  $r_t$ , and delete all other vertices of the sub-tree  $T_t$ .*

343 Observe that  $i$  is calculated in a way that by Proposition 4 there always exist enough  
 344 disjoint  $T_t$  sub-trees to perform the operation. The tree-filling operation might in general  
 345 increase treewidth, but we will do it in a way that it only increases by a constant factor.

346 ▶ **Lemma 11.** *Let  $G = (V, E)$  be a graph of pathwidth  $w$  and  $S = \{s_1, \dots, s_j\} \subset V$  a subset  
 347 of vertices having target  $t$ . Then there is a way to apply the tree-filling operation such that  
 348 the resulting graph  $H$  has  $tw(H) \leq 4w + 5$ .*

349 **Proof. Construction of  $H$ .** Let  $(\mathcal{P}, \mathcal{B})$  be a path-decomposition of  $G$  whose largest bag  
 350 has size  $w + 1$  and  $B_1, B_2, \dots, B_j \in \mathcal{B}$  distinct bags where  $\forall i, s_i \in B_i$  (assigning a distinct  
 351 bag to each  $s_i$  is always possible, as we can duplicate bags if necessary). We call those bags  
 352 *important*. We define an ordering  $o : S \rightarrow \mathbb{N}$  of the vertices of  $S$  that follows the order of the  
 353 important bags from left to right, that is  $o(s_i) < o(s_j)$  if  $B_i$  is on the left of  $B_j$  in  $\mathcal{P}$ . For  
 354 simplicity, let us assume that  $o(s_i) = i$  and that  $B_i$  is to the left of  $B_j$  if  $i < j$ .

355 We describe a recursive way to do the substitution of the trees in the tree-filling operation.  
 356 Crucially, when  $j > 2$  we will have to select an appropriate mapping between the vertices of  
 357  $S$  and the disjoint subtrees  $T_t$  in the added binomial tree  $T_i$ , so that we will be able to keep  
 358 the treewidth of the new graph bounded.

- 359 ■ If  $j = 1$  then  $i = t + 1$ . We add to the graph a copy of  $T_i$ , arbitrarily select the root of a  
 360 copy of  $T_t$  contained in  $T_i$ , and perform the tree-filling operation as described.
- 361 ■ Suppose that we know how to perform the substitution for sets of size at most  $\lceil j/2 \rceil$ ,  
 362 we will describe the substitution process for a set of size  $j$ . We have  $i = \lceil \log j \rceil + t + 1$   
 363 and for all  $j$  we have  $\lceil \log \lceil j/2 \rceil \rceil = \lceil \log j \rceil - 1$ . Split the set  $S$  into two (almost) equal  
 364 disjoint sets  $S^L$  and  $S^R$  of size at most  $\lceil j/2 \rceil$ , where for all  $s_i \in S^L$  and for all  $s_j \in S^R$ ,  
 365  $i < j$ . We perform the tree-filling on each of these sets by constructing two binomial trees  
 366  $T_{i-1}^L, T_{i-1}^R$  and doing the substitution; then, we connect their roots and set the root of  
 367 the left tree as the root  $r_i$  of  $T_i$ , thus creating the substitution of a tree  $T_i$ .

368 **Small treewidth.** We now prove that the new graph  $H$  that results from applying  
 369 the tree-filling operation on  $G$  and  $S$  as described above has a tree decomposition  $(\mathcal{T}, \mathcal{B}')$   
 370 of width  $4w + 5$ ; in fact we prove by induction a stronger statement: if  $A, Z \in \mathcal{B}$  are the  
 371 left-most and right-most bags of  $\mathcal{P}$ , then there exists a tree decomposition  $(\mathcal{T}, \mathcal{B}')$  of  $H$  of  
 372 width  $4w + 5$  with the added property that there exists  $R \in \mathcal{B}'$  such that  $A \cup Z \cup \{r_i\} \subset R$ ,  
 373 where  $r_i$  is the root of the tree  $T_i$ .

374 For the base case, if  $j = 1$  we have added to our graph a  $T_i$  of which we have selected an  
 375 arbitrary sub-tree  $T_t$ , and identified the root  $r_t$  of  $T_t$  with the unique vertex of  $S$  that has a  
 376 target. Take the path decomposition  $(\mathcal{P}, \mathcal{B})$  of the initial graph and add all vertices of  $A$  (its

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377 first bag) and the vertex  $r_i$  (the root of  $T_i$ ) to all bags. Take an optimal tree decomposition  
 378 of  $T_i$  and add  $r_i$  to each bag, obtaining a decomposition of width 2. We add an edge between  
 379 the bag of  $\mathcal{P}$  that contains the unique vertex of  $S$ , and a bag of the decomposition of  $T_i$   
 380 that contains the selected  $r_i$ . We now have a tree decomposition of the new graph of width  
 381  $2w + 2 < 4w + 5$ . Observe that the last bag of  $\mathcal{P}$  now contains all of  $A, Z$  and  $r_i$ .

382 For the inductive step, suppose we applied the tree-filling operation for a set  $S$  of size  
 383  $j > 1$ . Furthermore, suppose we know how to construct a tree decomposition with the desired  
 384 properties (width  $4w + 5$ , one bag contains the first and last bags of the path decomposition  
 385  $\mathcal{P}$  and  $r_i$ ), if we apply the tree-filling operation on a target set of size at most  $j - 1$ . We show  
 386 how to obtain a tree decomposition with the desired properties if the target set has size  $j$ .

387 By construction, we have split the set  $S$  into two sets  $S^L, S^R$  and have applied the  
 388 tree-filling operation to each set separately. Then, we connected the roots of the two added  
 389 trees to obtain a larger binomial tree. Observe that for  $|S| = j > 1$  we have  $|S^L|, |S^R| < j$ .

390 Let us first cut  $\mathcal{P}$  in two parts, in such a way that the important bags of  $S^L$  are on the  
 391 left and the important bags of  $S^R$  are on the right. We call  $A^L = A$  and  $Z^L$  the leftmost  
 392 and rightmost bags of the left part and  $A^R, Z^R = Z$  the leftmost and rightmost bags of the  
 393 right part. We define as  $G^L$  (respectively  $G^R$ ) the graph that contains all the vertices of the  
 394 left (respectively right) part. Let  $r_i$  be the root of  $T_i$  and  $r_{i-1}$  the root of its subtree  $T_{i-1}$ .  
 395 From the inductive hypothesis, we can construct tree decompositions  $(\mathcal{T}^L, \mathcal{B}^L), (\mathcal{T}^R, \mathcal{B}^R)$  of  
 396 width  $4w + 5$  for the graphs  $H^L, H^R$  that occur after applying tree-filling on  $G^L, S^L$  and  
 397  $G^R, S^R$ ; furthermore, there exist  $R^L \in \mathcal{B}^L, R^R \in \mathcal{B}^R$  such that  $R^L \supseteq A \cup Z^L \cup \{r_i\}$  and  
 398  $R^R \supseteq A^R \cup Z \cup \{r_{i-1}\}$ .

399 We construct a new bag  $R' = A \cup A^R \cup Z^L \cup Z \cup \{r_{i-1}, r_i\}$ , and we connect  $R'$  to both  
 400  $R^L$  and  $R^R$ , thus combining the two tree-decompositions into one. Last we create a bag  
 401  $R = A \cup Z \cup \{r_i\}$  and attach it to  $R'$ . This completes the construction of  $(\mathcal{T}, \mathcal{B}')$ .

402 Observe that  $(\mathcal{T}, \mathcal{B}')$  is valid for  $H$ :

- 403 ■  $V(H) = V(H^L) \cup V(H^R)$ , thus  $\forall v \in V(H), v \in \mathcal{B}^L \cup \mathcal{B}^R \subset \mathcal{B}$ .
- 404 ■  $E(H) = E(H^L) \cup E(H^R) \cup \{(r_{i-1}, r_i)\}$ . We have that  $r_{i-1}, r_i \in R' \in \mathcal{B}$ . All other edges  
 405 were dealt with in  $\mathcal{T}^L, \mathcal{T}^R$ .
- 406 ■ Each vertex  $v \in V(H)$  that belongs in exactly one of  $H^L, H^R$  trivially satisfied the  
 407 connectivity requirement: bags that contain  $v$  are either fully contained in  $\mathcal{T}^L$  or  $\mathcal{T}^R$ . A  
 408 vertex  $v$  that belongs in both  $H^L$  and  $H^R$  belongs in  $Z^L \cap A^R$ , hence in  $R'$ . Therefore,  
 409 the sub-trees of bags that contain  $v$  in  $\mathcal{T}^L, \mathcal{T}^R$ , form a connected sub-tree in  $\mathcal{T}$ .

410 The width of  $\mathcal{T}$  is  $\max\{tw(H^L), tw(H^R), 4w + 5\} = 4w + 5$ . ◀

411 The last thing that remains to do in order to complete the proof is to show the equivalence  
 412 between achieving the targets and finding a Grundy coloring.

413 ► **Lemma 12.** *Let  $G$  and  $G'$  be two graphs as described in Lemma 8 and let  $H$  be constructed  
 414 from  $G'$  by using the tree-filling operation. Then  $G$  has a clique of size  $k$  iff  $\Gamma(H) \geq$   
 415  $\lceil \log(k(m+1) + \binom{k}{2} + 2m) \rceil + 2 \log n + 5$ . Furthermore,  $tw(H) \leq 4\binom{k}{2} + 8k + 17$ .*

416 ► **Theorem 13.** GRUNDY COLORING parameterized by treewidth is  $W[1]$ -hard.

### 4 FPT for pathwidth

418 In this section, we show that, in contrast to treewidth, GRUNDY COLORING is FPT param-  
 419 eterized by pathwidth. We achieve this by providing an upper bound on the Grundy number

of any graph as a function of its pathwidth. Pipelining this with the algorithm of [76], we obtain a dependency on pathwidth alone. In order to obtain our bound, we rely on the following result on the performance ratio of the first-fit coloring algorithm on interval graphs.

► **Theorem 14** ([65]). *First-Fit is 8-competitive for online coloring interval graphs.*

In other words, interval graphs satisfy  $\Gamma(G) \leq 8 \cdot \chi(G)$ . Since on for any interval graph  $G$  we have  $\chi(G) = pw(G) + 1$ , we immediately obtain the following:

► **Corollary 15.** *For every interval graph  $G$ ,  $\Gamma(G) \leq 8 \cdot (pw(G) + 1)$ .*

► **Lemma 16.** *For every graph  $G$ ,  $\Gamma(G) \leq 8 \cdot (pw(G) + 1)$ .*

**Proof.** For a contradiction, suppose there exists  $G$  such that  $\Gamma(G) > 8 \cdot (pw(G) + 1)$ , and let  $c : V(G) \rightarrow \{1, \dots, \Gamma(G)\}$  be a Grundy coloring using  $\Gamma(G)$  colors. In addition, let  $G$  have the smallest possible number of vertices, i.e., there is no  $G'$  satisfying those conditions with  $|V(G')| < |V(G)|$ . This implies that, for every optimal path decomposition of  $G$ , there is no bag  $B$  and vertices  $u, v \in B$  such that  $c(u) = c(v)$ . Indeed, if such vertices exist, adding the edge  $uv$  to  $G$  and contracting  $uv$  yields a new graph  $G'$  such that  $pw(G') \leq pw(G)$ ,  $\Gamma(G') \geq \Gamma(G)$  and  $|V(G')| < |V(G)|$ , contradicting the assumption that  $G$  is smallest possible. In addition, for any  $u, v$  such that  $c(u) \neq c(v)$  and  $v \notin N(u)$ , adding edge  $uv$  to  $G$  does not decrease the Grundy number of  $G$  since  $c$  remains a valid Grundy coloring of the new graph. In particular, since, as previously observed, vertices in any bag of an optimal path decomposition of  $G$  all have pairwise different colors, turning every bag of such a decomposition into a clique does not decrease the Grundy number of  $G$ . More precisely, this yields a graph  $G'$  such that  $pw(G') = pw(G)$  and  $\Gamma(G') = \Gamma(G)$ , where  $G'$  is an interval graph. Applying Corollary 15 we obtain  $\Gamma(G) \leq \Gamma(G') \leq 8 \cdot (pw(G') + 1)$ , contradiction. ◀

Combining Lemma 16 with the  $O^*(2^{O(tw\Gamma(G))})$  algorithm of [76], we have:

► **Theorem 17.** *GRUNDY COLORING can be solved in time  $O^*(2^{O(pw(G)^2)})$ .*

Finally, note that there exist interval graphs that satisfy  $\Gamma(G) \geq r \cdot pw(G)$ , for any  $r < 5$  [53], therefore, the constant in Lemma 16 cannot be improved below 5.

## 5 NP-hardness for Constant Clique-width

In this section we prove that GRUNDY COLORING is NP-hard even for constant clique-width via a reduction from 3-SAT. We use a similar idea of adding supports as in Section 3, but supports now will be cliques instead of binomial trees. The support operation is defined as:

► **Definition 18.** *Given a graph  $G = (V, E)$ , a vertex  $u \in V$  and a set of positive integers  $S$ , we define the **support** operation as follows: for each  $i \in S$ , we add to  $G$  a clique of size  $i$  (using new vertices) and we connect one arbitrary vertex of each such clique to  $u$ .*

When applying the support operation we will say that we support vertex  $u$  with set  $S$  and we will call the vertices introduced supporting vertices. Intuitively, the support operation ensures that the vertex  $u$  may have at least one neighbor with color  $i$  for each  $i \in S$ .

We are now ready to describe our construction. Suppose we are given a 3CNF formula  $\phi$  with  $n$  variables  $x_1, \dots, x_n$  and  $m$  clauses  $c_1, \dots, c_m$ . We assume without loss of generality that each clause contains exactly three variables. We construct a graph  $G(\phi)$  as follows:

1. For each  $i \in [n]$  we construct two vertices  $x_i^P, x_i^N$  and the edge  $(x_i^P, x_i^N)$ .

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- 460 2. For each  $i \in [n]$  we support the vertices  $x_i^P, x_i^N$  with the set  $[2i - 2]$ . (Note that  $x_1^P, x_1^N$   
461 have empty support).
- 462 3. For each  $i \in [n], j \in [m]$ , if variable  $x_i$  appears in clause  $c_j$  then we construct a vertex  $x_{i,j}$ .  
463 Furthermore, if  $x_i$  appears positive in  $c_j$ , we connect  $x_{i,j}$  to  $x_{i'}^P$  for all  $i' \in [n]$ ; otherwise  
464 we connect  $x_{i,j}$  to  $x_{i'}^N$  for all  $i' \in [n]$ .
- 465 4. For each  $i \in [n], j \in [m]$  for which we constructed a vertex  $x_{i,j}$  in the previous step, we  
466 support that vertex with the set  $(\{2k \mid k \in [n]\} \cup \{2i - 1, 2n + 1, 2n + 2\}) \setminus \{2i\}$ .
- 467 5. For each  $j \in [m]$  we construct a vertex  $c_j$  and connect to all (three) vertices  $x_{i,j}$  already  
468 constructed. We support the vertex  $c_j$  with the set  $[2n]$ .
- 469 6. For each  $j \in [m]$  we construct a vertex  $d_j$  and connect it to  $c_j$ . We support  $d_j$  with the  
470 set  $[2n + 3] \cup [2n + 5, 2n + 3 + j]$ .
- 471 7. We construct a vertex  $u$  and connect it to  $d_j$  for all  $j \in [m]$ . We support  $u$  with the set  
472  $[2n + 4] \cup [2n + 5 + m, 10n + 10m]$ .

473 This completes the construction. Before we proceed, let us give some intuition. Observe  
474 that we have constructed two vertices  $x_i^P, x_i^N$  for each variable. The support of these vertices  
475 and the fact that they are adjacent, allow us to give them colors  $\{2i - 1, 2i\}$ . The choice of  
476 which gets the higher color encodes an assignment to variable  $x_i$ . The vertices  $x_{i,j}$  are now  
477 supported in such a way that they can “ignore” the values of all variables except  $x_i$ ; for  $x_i$ ,  
478 however,  $x_{i,j}$  “prefers” to be connected to a vertex with color  $2i$  (since  $2i - 1$  appears in the  
479 support of  $x_{i,j}$ , but  $2i$  does not). Now, the idea is that  $c_j$  will be able to get color  $2n + 4$  if  
480 and only if one of its literal vertices  $x_{i,j}$  was “satisfied” (has a neighbor with color  $2i$ ). The  
481 rest of the construction checks if all clause vertices are satisfied in this way.

482 ► **Lemma 19.** *If  $\phi$  is satisfiable then  $G(\phi)$  has a Grundy coloring with  $10n + 10m + 1$  colors.*

483 ► **Lemma 20.** *If  $G(\phi)$  has a Grundy coloring with  $10n + 10m + 1$  colors, then  $\phi$  is satisfiable.*

484 ► **Lemma 21.** *The graph  $G(\phi)$  has constant clique-width.*

485 ► **Theorem 22.** *Given graph  $G = (V, E)$ ,  $k$ -GRUNDY COLORING is NP-hard even when the  
486 clique-width of the graph  $cw(G)$  is a constant.*

### 6 FPT for modular-width

488 In this section we show that GRUNDY COLORING is FPT parameterized by modular width.  
489 Recall that  $G = (V, E)$  has modular width  $w$  if  $V$  can be partitioned into at most  $w$  modules,  
490 such that each module is a singleton or induces a graph of modular width  $w$ . Neighborhood  
491 diversity is the restricted version of this measure where modules are required to be cliques or  
492 independent sets. We sketch the main ideas of the algorithm (a full proof is in the appendix).

493 The first step is to show that GRUNDY COLORING is FPT parameterized by neighborhood  
494 diversity. Similarly to the standard COLORING algorithm for this parameter [56], we observe  
495 that, without loss of generality, all modules can be assumed to be cliques, and hence any color  
496 class has one of  $2^w$  possible types. We would like to use this to reduce the problem to an  
497 ILP with  $2^w$  variables, but unlike COLORING, the ordering of color classes matters. We thus  
498 prove that the optimal solution can be assumed to have a “canonical” structure where each  
499 color type only appears in consecutive colors. We then extend the neighborhood diversity  
500 algorithm to modular width using the idea that we can calculate the Grundy number of each  
501 module separately, and then replace it with an appropriately-sized clique.

502 ► **Theorem 23.** *Let  $G = (V, E)$  be a graph of modular-width  $w$ . The Grundy number of  $G$   
503 can be computed in time  $2^{O(w2^w)} n^{O(1)}$ .*

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## A List of known problems which are $W[1]$ -hard for treewidth and for pathwidth

Here we give a list of problems found in the literature which are known to be  $W[1]$ -hard by treewidth. After reviewing the relevant works we have verified that all of the following problems are in fact shown to be  $W[1]$ -hard parameterized by pathwidth (and in many cases by feedback vertex set and tree-depth), even if this is not explicitly claimed.

- PRECOLORING EXTENSION and EQUITABLE COLORING are shown to be  $W[1]$ -hard for both tree-depth and feedback vertex set in [32] (though the result is claimed only for treewidth). This is important, because EQUITABLE COLORING often serves as a starting point for reductions to other problems. A second hardness proof for this problem was recently given in [23]. These two problems are FPT by vertex cover [33].
- CAPACITATED DOMINATING SET and CAPACITATED VERTEX COVER are  $W[1]$ -hard for both tree-depth and feedback vertex set [25] (though again the result is claimed for treewidth).
- MIN MAXIMUM OUT-DEGREE on weighted graphs is  $W[1]$ -hard by tree-depth and feedback vertex set [72].
- GENERAL FACTORS is  $W[1]$ -hard by tree-depth and feedback vertex set [71].
- TARGET SET SELECTION is  $W[1]$ -hard by tree-depth and feedback vertex set [9] but FPT for vertex cover [67].
- BOUNDED DEGREE DELETION is  $W[1]$ -hard by tree-depth and feedback vertex set, but FPT for vertex cover [11, 39].
- FAIR VERTEX COVER is  $W[1]$ -hard by tree-depth and feedback vertex set [54].
- FIXING CORRUPTED COLORINGS is  $W[1]$ -hard by tree-depth and feedback vertex set [12] (reduction from PRECOLORING EXTENSION).
- MAX NODE DISJOINT PATHS is  $W[1]$ -hard by tree-depth and feedback vertex set [30, 34].
- DEFECTIVE COLORING is  $W[1]$ -hard by tree-depth and feedback vertex set [8].
- POWER VERTEX COVER is  $W[1]$ -hard by tree-depth but open for feedback vertex set [2].
- MAJORITY CSP is  $W[1]$ -hard parameterized by the tree-depth of the incidence graph [24].
- LIST HAMILTONIAN PATH is  $W[1]$ -hard for pathwidth [62].
- $L(1,1)$ -COLORING is  $W[1]$ -hard for pathwidth, FPT for vertex cover [33].
- COUNTING LINEAR EXTENSIONS of a poset is  $W[1]$ -hard (under Turing reductions) for pathwidth [27].
- EQUITABLE CONNECTED PARTITION is  $W[1]$ -hard by pathwidth and feedback vertex set, FPT by vertex cover [29].
- SAFE SET is  $W[1]$ -hard parameterized by pathwidth, FPT by vertex cover [7].
- MATCHING WITH LOWER QUOTAS is  $W[1]$ -hard parameterized by pathwidth [4].
- SUBGRAPH ISOMORPHISM is  $W[1]$ -hard parameterized by the pathwidth of both graphs [61].
- METRIC DIMENSION is  $W[1]$ -hard by pathwidth [16].
- SIMPLE COMPREHENSIVE ACTIVITY SELECTION is  $W[1]$ -hard by pathwidth [28].
- DEFENSIVE STACKELBERG GAME FOR IGL is  $W[1]$ -hard by pathwidth (reduction from EQUITABLE COLORING) [5].
- DIRECTED  $(p, q)$ -EDGE DOMINATING SET is  $W[1]$ -hard parameterized by pathwidth [6].
- MAXIMUM PATH COLORING is  $W[1]$ -hard for pathwidth [57].
- Unweighted  $k$ -SPARSEST CUT is  $W[1]$ -hard parameterized by the three combined parameters tree-depth, feedback vertex set, and  $k$  [47].

## 23:20 Grundy Distinguishes Treewidth from Pathwidth

839 ■ GRAPH MODULARITY is  $W[1]$ -hard parameterized by pathwidth plus feedback vertex set  
840 [63].

### 841 **B** $W[1]$ -hardness for treewidth – Missing Proofs

842 **Proposition 4.** By induction in  $i - t$ . For  $i - t = 1$ ,  $T_i$  indeed contains one  $T_{i-1}$  that does not  
843 contain the root  $r_i$ . Let it be true that  $T_{i-1}$  contains  $2^{i-t-2}$  subtrees  $T_t$ . Then  $T_i$  contains  
844 two trees  $T_{i-1}$  each of which contains  $2^{i-t-2}$   $T_j$ , thus  $2^{i-t-1}$  in total. ◀

845 **Proposition 5.** The first part is trivial since in any graph  $G$  with maximum degree  $\Delta$  we  
846 have  $\Gamma(G) \leq \Delta + 1$ . In this case  $\Gamma(T_i) \leq (i - 1) + 1 = i$ . For the second part, we first prove  
847 that there is a Grundy coloring which assigns color  $i$  to the root. This can be proven by  
848 strong induction: if for all  $k < i$ , there is a Grundy coloring which assigns color  $k$  to  $r_k$  for  
849 all  $1 \leq k \leq i - 1$ , then under this coloring,  $r_i$  has at least one neighbor receiving color  $k$  for  
850 all  $1 \leq k \leq i - 1$ , so it has to receive color  $i$ . To assign to the root a color  $j < i$  we use the  
851 fact that (by inductive hypothesis) there is a coloring that assigns color  $j - 1$  to  $r_j$ , so in  
852 this coloring the root  $r_i$  will take color  $j$ . ◀

853 **Lemma 9.** We will use the equivalent definition of pathwidth as a node-searching game,  
854 where the robber is eager and invisible and the cops are placed on nodes [13]. We will use  
855  $\binom{k}{2} + 2k + 4$  cops to clean  $G''$  as follows: We place  $\binom{k}{2}$  cops on the edge checkers. Then,  
856 starting from  $j = 0$ , we place  $2k$  cops on the propagators  $p_{i,0}, p_{i,1}$  for  $i = 1, \dots, k$ , plus 2  
857 cops on the edge selection vertices  $w_{j,x}, w_{j,y}$  that correspond to edge  $j$ . We use the two  
858 additional cops to clean line by line the gadgets  $S_{i,j}$ . We then use one of these cops to clear  
859  $w'_{j,x}, w'_{j,y}$ . We continue then to the next column  $j = 2$  by removing the  $k$  cops from the  
860 propagators  $p_{i,1}$  and placing them to  $p_{i,3}$ . We continue for  $j = 3, \dots, m - 1$  until the whole  
861 graph has been cleaned. ◀

862 **Lemma 12.** We note that the number of vertices with targets in our construction is  $m' =$   
863  $k(m + 1) + \binom{k}{2} + 2m$  (the propagators, edge selection checkers, and edge-checkers). From  
864 Lemma 8, it only suffices to show that  $\Gamma(H) \geq \lceil \log m' \rceil + 2 \log n + 5$  iff the vertices with  
865 targets achieve color  $t = 2 \log n + 4$ .

866 For the forward direction, once vertices with targets get the desirable colors, the rest  
867 of the binomial tree of the tree-filling operation can be colored optimally, starting from its  
868 leaves all the way up to its roots, which will get color  $i = \lceil \log m' \rceil + 2 \log n + 5$ .

869 For the converse direction, observe that the only vertices having degree higher than  
870  $2 \log n + 4$  are the edge-checkers and the vertices of the binomial tree  $H \setminus G'$ . However,  
871 the edge-checkers connect to only one vertex of degree higher than  $2 \log n + 4$ , that in the  
872 binomial tree. Thus no vertex of  $G'$  can ever get a color higher than  $2 \log n + 6$  and the only  
873 way that  $\Gamma(H) \geq \lceil \log m' \rceil + 2 \log n + 5$  is if the root of the binomial tree of the tree-filling  
874 operation (the only vertex of high enough degree) receives color  $\lceil \log m' \rceil + 2 \log n + 5$ . For  
875 that to happen, all the support-trees of this tree should be colored optimally, which proves  
876 that the vertices with targets  $2 \log n + 4$  having substituted support trees  $T_{2 \log n + 4}$  should  
877 achieve their targets.

878 In terms of the treewidth of  $H$  we have the following: Lemma 9 says that  $G'$  once we  
879 remove all the supporting trees has pathwidth at most  $\binom{k}{2} + 2k + 3$ . Applying Lemma 11  
880 we get that  $H$  where we have ignored the tree-supports from  $G'$  has treewidth at most  
881  $4 \left( \binom{k}{2} + 2k + 3 \right) + 5$ . Adding back the tree-supports does not increase its treewidth. ◀

## C NP-hardness for clique-width – missing proofs

882

883 **Lemma 19.** Consider a satisfying assignment of  $\phi$ . We first produce a coloring of the vertices  
 884  $x_i^P, x_i^N$  as follows: if  $x_i$  is set to True, then  $x_i^P$  is colored  $2i$  and  $x_i^N$  is colored  $2i - 1$ ; otherwise  
 885  $x_i^P$  is colored  $2i - 1$  and  $x_i^N$  is colored  $2i$ . Before proceeding, let us also color the supporting  
 886 vertices of  $x_i^P, x_i^N$ : each such vertex belongs to a clique which contains only one vertex with  
 887 a neighbor outside the clique. For each such clique of size  $\ell$ , we color all vertices of the clique  
 888 which have no outside neighbors with colors from  $[\ell - 1]$  and use color  $\ell$  for the remaining  
 889 vertex. Note that the coloring we have produced so far is a valid Grundy coloring, since each  
 890 vertex  $x_i^P, x_i^N$  has for each  $c \in [2i - 2]$  a neighbor with color  $c$  among its supporting vertices,  
 891 allowing us to use colors  $\{2i - 1, 2i\}$  for  $x_i^P, x_i^N$ . In the remainder, we will use similar such  
 892 colorings for all supporting cliques. We will only stress the color given to the vertex of the  
 893 clique that has an outside neighbor, respecting the condition that this color is not larger  
 894 than the size of the clique. Note that it is not a problem if this color is strictly smaller than  
 895 the size of the clique, as we are free to give higher colors to internal vertices.

896 Consider now a clause  $c_j$  for some  $j \in [m]$ . Suppose that this clause contains the three  
 897 variables  $x_{i_1}, x_{i_2}, x_{i_3}$ . Because we started with a satisfying assignment, at least one of these  
 898 variables has a value that satisfies the clause, without loss of generality  $x_{i_3}$ . We therefore  
 899 color  $x_{i_1}, x_{i_2}, x_{i_3}$  with colors  $2n + 1, 2n + 2, 2n + 3$  respectively and we color  $c_j$  with color  
 900  $2n + 4$ . We now need to show that we can appropriately color the supporting vertices to  
 901 make this a valid Grundy coloring.

902 Recall that the vertex  $x_{i_3}$  has support  $\{2, 4, \dots, 2n\} \setminus \{2i_3\} \cup \{2i_3 - 1, 2n + 1, 2n + 2\}$ .  
 903 For each  $i' \neq i_3$  we observe that  $x_{i_3}$  is connected to a vertex (either  $x_{i_3}^P$  or  $x_{i_3}^N$ ) which has a  
 904 color in  $\{2i' - 1, 2i'\}$ , we are therefore missing the other color from this set. We consider the  
 905 clique of size  $2i'$  supporting  $x_{i_3,j}$ : we assign this missing color to the vertex of this clique  
 906 that is adjacent to  $x_{i_3,j}$ . Note that the clique is large enough to color its remaining vertices  
 907 with lower colors in order to make this a valid Grundy coloring. For  $i_3$ , we observe that,  
 908 since  $x_{i_3}$  satisfies the clause, the vertex  $x_{i_3,j}$  has a neighbor (either  $x_{i_3}^P$  or  $x_{i_3}^N$ ) which has  
 909 received color  $2i_3$ ; we use color  $2i_3 - 1$  in the support clique of the same size. Similarly, we  
 910 use colors  $2n + 1, 2n + 2$  in the support cliques of the same sizes, and  $x_{i_3}$  has neighbors with  
 911 colors covering all of  $[2n + 2]$ .

912 For the vertex  $x_{i_2,j}$  we proceed in a similar way. For  $i' < i_2$  we give the support vertex  
 913 from the clique of size  $2i'$  the color from  $\{2i' - 1, 2i'\}$  which does not already appear in the  
 914 neighborhood of  $x_{i_2,j}$ . For  $i' \in [i_2, n - 1]$  we take the vertex from the clique of size  $2i' + 2$   
 915 and give it the color of  $\{2i' - 1, 2i'\}$  which does not yet appear in the neighborhood of  $x_{i_2,j}$ .  
 916 In this way we cover all colors in  $[2n - 2]$ . We now observe that  $x_{i_2,j}$  has a neighbor with  
 917 color in  $\{2n - 1, 2n\}$  (either  $x_n^P$  or  $x_n^N$ ); together with the support vertices from the cliques  
 918 of sizes  $2n + 1, 2n + 2$  this allows us to cover the colors  $[2n - 1, 2n + 1]$ . We use a similar  
 919 procedure to cover the colors  $[2n]$  in the neighborhood of  $x_{i_1,j}$ . Now, the  $2n$  support vertices  
 920 in the neighborhood of  $c_j$ , together with  $x_{i_1,j}, x_{i_2,j}, x_{i_3,j}$  allow us to give that vertex color  
 921  $2n + 4$ .

922 We now give each vertex  $d_j$ , for  $j \in [m]$  color  $2n + j + 4$ . This can be extended to a  
 923 valid coloring, because  $d_j$  is adjacent to  $c_j$ , which has color  $2n + 4$ , and the support of  $d_j$  is  
 924  $[2n + j + 3] \setminus \{2n + 4\}$ .

925 Finally, we give  $u$  color  $10n + 10m + 1$ . Its support is  $[10n + 10m] \setminus [2n + 5, 2n + m + 4]$ .  
 926 However,  $u$  is adjacent to all vertices  $d_j$ , whose colors cover the set  $\{2n + 4 + j \mid j \in [m]\}$ . ◀

927 **Lemma 20.** Consider a Grundy coloring of  $G(\phi)$ . We first assume without loss of generality  
 928 that we consider a minimal induced subgraph of  $G$  for which the coloring remains valid, that

is, deleting any vertex will either reduce the number of colors or invalidate the coloring. In particular, this means there is a unique vertex with color  $10n + 10m + 1$ . This vertex must have degree at least  $10n + 10m$ . However, there are only two such vertices in our graph:  $u$  and its support neighbor vertex in the clique of size  $10n + 10m$ . If the latter vertex has color  $10n + 10m + 1$ , we can infer that  $u$  has color  $10n + 10m$ : this color cannot appear in the clique because all its internal vertices have degree  $10n + 10m - 1$ , and one of their neighbors has a higher color. We observe now that exchanging the colors of  $u$  and its neighbor produces another valid coloring. We therefore assume without loss of generality that  $u$  has color  $10n + 10m + 1$ .

We now observe that in each supporting clique of  $u$  of size  $i$  the maximum color used is  $i$  (since  $u$  has the largest color in the graph). Similarly, the largest color that can be assigned to  $d_j$  is  $2n + j + 4$ , because  $d_j$  has degree  $2n + j + 4$ , but one of its neighbors ( $u$ ) has a higher color. We conclude that the only way for the  $10n + 10m$  neighbors of  $u$  to cover all colors in  $[10n + 10m]$  is for each support clique of size  $i$  to use color  $i$  and for each  $d_j$  to be given color  $2n + j + 4$ .

Suppose now that  $d_j$  was given color  $2n + j + 4$ . This implies that the largest color that  $c_j$  may have received is  $2n + 4$ , since its degree is  $2n + 4$ , but  $d_j$  received a higher color. We conclude again that for the neighbors of  $d_j$  to cover  $[2n + j + 3]$  it must be the case that each supporting clique used its maximum possible color and  $c_j$  received color  $2n + 4$ .

Suppose now that a vertex  $c_j$  received color  $2n + 4$ . Since  $d_j$  received a higher color, the remaining  $2n + 3$  neighbors of this vertex must cover  $[2n + 3]$ . In particular, since the support vertices have colors in  $[2n]$ , its three remaining neighbors, say  $x_{i_1,j}, x_{i_2,j}, x_{i_3,j}$  must have colors covering  $[2n + 1, 2n + 3]$ . Therefore, all vertices  $x_{i,j}$  have colors in  $[2n + 1, 2n + 3]$ .

Consider now two vertices  $x_i^P, x_i^N$ , for some  $i \in [n]$ . We claim that the vertex which among these two has the lower color, has color at most  $2i - 1$ . To see this observe that this vertex may have at most  $2i - 2$  neighbors from the support vertices that have lower colors and these must use colors in  $[2i - 2]$  because of their degrees. Its neighbors of the form  $x_{i,j}$  have color at least  $2n + 1 > 2i - 1$ , and its neighbor in  $\{x_i^P, x_i^N\}$  has a higher color. Therefore, the smaller of the two colors used for  $\{x_i^P, x_i^N\}$  is at most  $2i - 1$  and by similar reasoning the higher of the two colors used for this set is at most  $2i$ . We now obtain an assignment for  $\phi$  by setting  $x_i$  to True if  $x_i^P$  has a higher color than  $x_i^N$  and False otherwise (this is well-defined, since  $x_i^P, x_i^N$  are adjacent).

Let us argue why this is a satisfying assignment. Take a clause vertex  $c_j$ . As argued, one of its neighbors, say  $x_{i_3,j}$  has color  $2n + 3$ . The degree of  $x_{i_3,j}$ , excluding  $c_j$  which has a higher color, is  $2n + 2$ , meaning that its neighbors must exactly cover  $[2n + 2]$  with their colors. Since vertices  $x_i^P, x_i^N$  have color at most  $2i$ , the colors  $[2n + 1, 2n + 2]$  must come from the support cliques of the same sizes. Now, for each  $i \in [n]$  the vertex  $x_{i_3,j}$  has exactly two neighbors which may have received colors in  $\{2i - 1, 2i\}$ . This can be seen by induction on  $i$ : first, for  $i = n$  this is true, since we only have the support clique of size  $2n$  and the neighbor in  $\{x_n^P, x_n^N\}$ . Proceeding in the same way we conclude the claim for smaller values of  $i$ . The key observation is now that the clique of size  $2i_3 - 1$  cannot give us color  $2i_3$ , therefore this color must come from  $\{x_{i_3}^N, x_{i_3}^P\}$ . If the neighbor of  $x_{i_3,j}$  in this set uses  $2i_3$ , this must be the higher color in this set, meaning that  $x_{i_3}$  has a value that satisfies  $c_j$ . ◀

**Lemma 21.** Let us first observe that the support operation does not significantly affect a graph's clique-width. Indeed, if we have a clique-width expression for  $G(\phi)$  without the support vertices, we can add these vertices as follows: each time we introduce a vertex that must be supported we instead construct the (constant clique-width) graph induced by this vertex and its support and then rename all supporting vertices to a junk label that is never

977 connected to anything else. It is clear that this can be done by (in the worst case) adding a  
978 constant number of new labels.

979 Let us then argue why the rest of the graph has constant clique-width. First, the graph  
980 induced by  $x_i^N, x_i^P$ , for  $i \in [n]$  is a matching, which has constant clique-width. We construct  
981 this graph in a way that uses one label for the vertices  $x_i^N$  and another for  $x_i^P$ . We then  
982 introduce to the graph the clauses one by one: first the vertices  $x_{i,j}$  (which are connected  
983 with an appropriate join to  $x_i^N$  or  $x_i^P$ ),  $c_j$  and  $d_j$ . We do this in a way that all  $d_j$  have in  
984 the end the same label. Finally we introduce  $u$  and join it to all  $d_j$  vertices. ◀

## 985 **D** FPT for modular width

986 Recall that two vertices  $u, v \in V$  of a graph  $G = (V, E)$  are *twins* if  $N(u) \setminus v = N(v) \setminus u$ , and  
987 called *true* (respectively, *false*) twins if they are adjacent (respectively, non-adjacent). A *twin*  
988 *class* is a maximal set of vertices that are pairwise twins. It is easy to see that any twin class  
989 is either a clique or an independent set. We say that a graph  $G = (V, E)$  has *neighborhood*  
990 *diversity* at most  $w$  if and only if  $V$  admits a partition into at most  $w$  vertex subsets, each of  
991 which consists of pairwise twins.

992 The main result of this section is that GRUNDY COLORING is FPT with respect to  
993 modular-width. The modular-width is upper bounded by the neighborhood diversity, and can  
994 be viewed as a generalization of the latter measure. We first prove that GRUNDY COLORING  
995 is FPT parameterized by neighborhood diversity, and then use this algorithm to establish  
996 the tractability result with respect to modular-width.

### 997 **D.1** Neighborhood diversity

998 Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$  with a vertex partition  $V =$   
999  $W_1 \dot{\cup} \dots \dot{\cup} W_w$  into twin classes. It is obvious that in any Grundy Coloring of  $G$ , the vertices  
1000 of a true twin class must have all distinct colors because forms a clique. Furthermore, it is  
1001 not difficult to see that the vertices of a false twin class must be colored by the same color  
1002 because all of its vertices have the same neighbors.

1003 In fact, we can show that we can remove vertices from a false twin class without affecting  
1004 the Grundy number of the graph:

1005 ▶ **Lemma 24.** *Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$  with a vertex partition*  
1006  *$V = W_1 \dot{\cup} \dots \dot{\cup} W_w$  into twin classes. Let  $W_i$  be a false twin class having at least two distinct*  
1007 *vertices  $u, v \in W_i$ . Then  $G - v$  has  $k$ -Grundy coloring if and only if  $G$  has.*

1008 **Proof.** The forward implication is trivial. To see the opposite direction, consider an arbitrary  
1009  $k$ -Grundy coloring of  $G$ . Any vertex whose color is higher than  $v$  and is adjacent with  $v$   
1010 must be to  $u$  as well. Since  $u$  and  $v$  have the same color, this implies that the same coloring  
1011 restricted to  $G - v$  is a  $k$ -Grundy coloring. ◀

1012 Using Lemma 24, we can reduce every false twin class into a singleton vertex, thus  
1013 from now on we may assume that every twin class is a clique (possibly a singleton). An  
1014 immediate consequence is that any color class of a Grundy coloring can take at most  
1015 one vertex from each twin class. Furthermore, the colors of any two vertices from the same  
1016 twin class are interchangeable. Therefore, a color class  $V_i$  of a Grundy coloring is precisely  
1017 characterized by the set of twin classes  $W_j$  that  $V_i$  intersects. For a color class  $V_i$ , we call  
1018 the set  $\{j \in [w] : W_j \cap V_i \neq \emptyset\}$  as the *intersection pattern* of  $V_i$ .

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1019 Let  $\mathcal{I}$  be the collection of all sets  $I \subseteq [w]$  of indices such that  $W_i$  and  $W_j$  are non-adjacent  
 1020 for every distinct pairs  $i, j \in [w]$ . It is clear that the intersection pattern of any color class is  
 1021 a member of  $\mathcal{I}$ . It turns out that if  $I \in \mathcal{I}$  appears as an intersection pattern for more than  
 1022 one color classes, then it can be assumed to appear on a consecutive set of colors.

1023 ► **Lemma 25.** *Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$  with a vertex partition*  
 1024  *$V = W_1 \dot{\cup} \dots \dot{\cup} W_w$  into true twin classes. Let  $V_1 \dot{\cup} \dots \dot{\cup} V_k$  be a  $k$ -Grundy coloring of  $G$  and*  
 1025 *let  $I_i \in \mathcal{I}$  be the set of indices  $j$  such that  $V_i \cap W_j \neq \emptyset$  for each  $i \in [w]$ . If  $I_i = I_{i'}$  for some*  
 1026  *$i' \geq i + 2$ , then the coloring  $V'_1 \dot{\cup} \dots \dot{\cup} V'_k$  where*

$$1027 \quad V'_\ell = \begin{cases} V_{i'} & \text{if } \ell = i + 1, \\ V_{\ell+1} & \text{if } i < \ell < i', \\ V_\ell & \text{otherwise} \end{cases}$$

1028 (i.e. the coloring obtained by ‘inserting’  $V_{i'}$  in between  $V_i$  and  $V_{i+1}$ ) is a Grundy coloring as  
 1029 well.

1030 **Proof.** Consider an arbitrary  $i''$  with  $i + 1 < i'' \leq i'$ . To establish the statement, it suffices  
 1031 to show that every vertex of  $V'_{i''}$  has a neighbor in  $V'_{i+1}$  in the new coloring. Recall that  
 1032  $V'_{i''} = V_{i''-1}$  and for an arbitrary vertex  $v \in V_{i''-1}$  has a neighbor in  $V_i$ , thus in  $W_j$  for some  
 1033  $j \in I_i$ . From the fact that  $I_{i'} = I_i$  and the construction of the new coloring, it follows that  
 1034  $W_j \cap V'_{i+1} = W_j \cap V_{i'} \neq \emptyset$  and  $v$  has a neighbor in  $V'_{i+1}$ . ◀

1035 The following is a consequence of Lemma 25.

1036 ► **Corollary 26.** *Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$  with a vertex partition*  
 1037  *$V = W_1 \dot{\cup} \dots \dot{\cup} W_w$  into true twin classes. If  $G$  admits a  $k$ -Grundy coloring, then there is a*  
 1038  *$k$ -Grundy coloring  $V_1 \dot{\cup} \dots \dot{\cup} V_k$  such that for each  $I \in \mathcal{I}$ , the set of colors  $i$  for which  $I$  is an*  
 1039 *intersection pattern of  $V_i$  forms a (possibly empty) sub-interval of  $[k]$ .*

1040 For a sub-collection  $\mathcal{I}'$  of  $\mathcal{I}$ , we say that  $\mathcal{I}'$  is *eligible* if there is an ordering  $\preceq$  on  $\mathcal{I}'$  such  
 1041 that for every  $I, I' \in \mathcal{I}'$  with  $I \succeq I'$ , and for every  $i \in I$ , there exists  $i' \in I'$  such that the  
 1042 twin classes  $W_i$  and  $W_{i'}$  are adjacent. Clearly, a sub-collection of an eligible sub-collection of  
 1043  $\mathcal{I}$  is again eligible.

1044 Now we are ready to present an fpt-algorithm, parameterized by the neighborhood  
 1045 diversity  $w$ , to compute the Grundy number. The algorithm consists in two steps: (i) guess  
 1046 a sub-collection  $\mathcal{I}'$  of  $\mathcal{I}$  which are used as intersection patterns by a Grundy coloring, and  
 1047 (ii) given  $\mathcal{I}'$ , we solve an integer linear program.

1048 Let  $\mathcal{I}'$  be a sub-collection of  $\mathcal{I}$ . For each  $I \in \mathcal{I}'$ , let  $x_I$  be an integer variable which is  
 1049 interpreted as the number of colors for which  $I$  appears as an intersection pattern. Now, the  
 1050 linear integer program  $\text{ILP}(\mathcal{I}')$  for a sub-collection  $\mathcal{I}'$  is given as the following:

$$1051 \quad \max \sum_{I \in \mathcal{I}'} x_I \quad \text{s.t.} \quad \sum_{I \in \mathcal{I}': i \in I} x_I = |W_i| \quad \forall i \in [w], \quad (1)$$

1052 where each  $x_I$  takes a positive integer value.

1053 ► **Lemma 27.** *Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$  with a vertex partition*  
 1054  *$V = W_1 \dot{\cup} \dots \dot{\cup} W_w$  into true twin classes. The maximum value of  $\text{ILP}(\mathcal{I}')$  over all eligible*  
 1055  *$\mathcal{I}' \subseteq \mathcal{I}$  equals the Grundy number of  $G$ .*



1056 **Proof.** We first prove that the maximum value over all considered ILPs are at least the  
 1057 Grundy number of  $G$ . Fix a Grundy coloring  $V_1 \dot{\cup} \dots \dot{\cup} V_k$  achieving the Grundy number  
 1058 while satisfying the condition of Corollary 26. Consider the sub-collection  $\mathcal{I}'$  of  $\mathcal{I}$  used as  
 1059 intersection patterns in the fixed Grundy coloring. It is obvious that  $\mathcal{I}'$  is eligible. Let  
 1060  $\bar{x}_I$  be the number of colors for which  $I$  is an intersection pattern for each  $I \in \mathcal{I}'$ . It is  
 1061 straightforward to check that setting the variable  $x_I$  at value  $\bar{x}_I$  satisfies the constraints of  
 1062  $\text{ILP}(\mathcal{I}')$ . Therefore, the objective value of  $\text{ILP}(\mathcal{I}')$  is at least the Grundy number.

1063 To establish the opposite direction of inequality, let  $\mathcal{I}'$  be an eligible sub-collection of  
 1064  $\mathcal{I}$  achieving the maximum ILP objective value. Notice that  $\text{ILP}(\mathcal{I}')$  is feasible, and let  $x_I^*$   
 1065 be the value taken by the variable  $x_I$  for each  $I \in \mathcal{I}'$ . Since  $\mathcal{I}'$  is eligible, there exists an  
 1066 ordering  $\preceq$  on  $\mathcal{I}'$  such that for every  $I, I' \in \mathcal{I}'$  with  $I \succeq I'$ , and for every  $i \in I$ , there exists  
 1067  $i' \in I'$  such that the twin classes  $W_i$  and  $W_{i'}$  are adjacent. Now, we can define the coloring  
 1068  $V_1 \dot{\cup} \dots \dot{\cup} V_\ell$  by taking the first (i.e. minimum element in  $\preceq$ ) element  $I_1$  of  $\mathcal{I}'$   $x_{I_1}^*$  times. That  
 1069 is, each of  $V_1$  up to  $V_{x_{I_1}^*}$  contains precisely one vertex of  $W_i$  for each  $i \in I_1$ . The succeeding  
 1070 element  $I_2$  similarly yields the next  $x_{I_2}^*$  colors, and so on. From the constraint of  $\text{ILP}(\mathcal{I}')$ , we  
 1071 know that the constructed coloring indeed partitions  $V$ . The eligibility of  $\mathcal{I}'$  ensure that this  
 1072 is a Grundy coloring. Finally, observe that the number of colors in the constructed coloring  
 1073 equals the objective value of  $\text{ILP}(\mathcal{I}')$ . This proves that the latter value is the lower bound  
 1074 for the Grundy number.  $\blacktriangleleft$

1075 **► Theorem 28.** *Let  $G = (V, E)$  be a graph of neighborhood diversity  $w$ . In time  $2^{O(w2^w)}$ ,  
 1076 the Grundy number of  $G$  can be computed. Furthermore, a Grundy coloring achieving the  
 1077 Grundy number can be found in the same running time.*

1078 **Proof.** We first compute the partition  $V = W_1 \dot{\cup} \dots \dot{\cup} W_w$  of  $G$  into twin classes in polynomial  
 1079 time. By Lemma 24, we may assume that each  $W_i$  is a true twin class by discard some vertices  
 1080 of  $G$ , if necessary. Next, we compute  $\mathcal{I}$  and notice that  $\mathcal{I}$  contains at most  $2^w$  elements. For  
 1081 each eligible sub-collection of  $\mathcal{I}'$  of  $\mathcal{I}$ , we can solve  $\text{ILP}(\mathcal{I}')$  by Lenstra's algorithm which  
 1082 runs in time  $O(n^{2.5n+o(n)})$ , where  $n$  denotes the number of variables in a given linear integer  
 1083 program. As  $\text{ILP}(\mathcal{I}')$  contains as many as  $|\mathcal{I}'| \leq 2^w$  variables, this lead to an ILP solver  
 1084 running in time  $2^{O(w2^w)}$ . Iterating over all sub-collections  $\mathcal{I}'$  of  $\mathcal{I}$  and checking whether each  
 1085 one is eligible or not takes  $O(2^{2^w} \cdot (2^w)!)$ -time. Due to Lemma 27, we can correctly compute  
 1086 the Grundy number by solving  $\text{ILP}(\mathcal{I}')$  for each eligible  $\mathcal{I}'$ . This proves the first part of the  
 1087 statement. The second part is trivial.  $\blacktriangleleft$

## 1088 D.2 Modular-width

1089 Let  $G = (V, E)$  be a graph. A *module* is a set  $X \subseteq V$  of vertices such that  $N(u) \setminus X = N(v) \setminus X$   
 1090 for every  $u, v \in X$ , that is, their neighborhoods coincides outside of  $X$ . Clearly, a connected  
 1091 component is a module. Moreover, a connected component in the complement of  $G$  forms  
 1092 a module as well. It is known that if neither  $G$  nor its complement is disconnected, the  
 1093 collection of maximal module which are not  $V$  forms a partition of  $V$ . Moreover, from  
 1094 maximality of modules and that neither  $G$  nor its complement is disconnected, it is not  
 1095 difficult to see that such a partition is unique. Let  $\mathcal{M} = M_1 \dot{\cup} \dots \dot{\cup} M_k$  be such a partition of  
 1096  $V$ . Then a *quotient graph* of  $G$ , denoted as  $G/\mathcal{M}$ , takes the maximal modules in  $\mathcal{M}$  as the  
 1097 vertex set and two vertices are adjacent in  $G/\mathcal{M}$  if and only if the corresponding modules  
 1098 are (fully) adjacent. Notice that in  $G/\mathcal{M}$ , every module is either a singleton or the entire  
 1099 vertex set.

1100 Recall that a complete join of  $G_1$  and  $G_2$  is the graph obtained by taking a disjoint union  
 1101 of  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  and furthermore adding an edge between every vertex

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1102 pair  $u \in V_1$  and  $v \in V_2$ . All together, the notion of module points to a natural way for  
 1103 recursively decomposing a graph. Namely, for any graph with at least two vertices, it is  
 1104 known that exactly one of the three decomposition applies.

- 1105 1. Disjoint union: if  $G$  is a disjoint union of  $G_1$  and  $G_2$ , write  $G = G_1 \oplus G_2$ .  
 1106 2. Complete join: if  $G$  is a complete join of  $G_1$  and  $G_2$ , write  $G = G_1 \otimes G_2$ .  
 1107 3. Prime<sup>2</sup>: if  $\mathcal{M} = M_1 \dot{\cup} \dots \dot{\cup} M_k$  is a nontrivial partition of  $V$  into maximal modules and  
 1108  $H = G/\mathcal{M}$ , write  $G = H[G[M_1], \dots, G[M_k]]$ .

1109 Recursively applying one of the above decompositions till no longer possible, we obtain a  
 1110 canonical tree<sup>3</sup>  $T$  called a *modular decomposition tree* such that

- 1111 ■ the root node represents  $G$ ,
- 1112 ■ each internal node representing a graph  $G'$  is labeled by the operator  $\oplus$ ,  $\otimes$ , or the prime  
 1113 graph  $H$ , depending on the type of decomposition applied to  $G'$ . Its children represent  
 1114 the induced subgraph of  $G'$  that are operands of the said operator.
- 1115 ■ the leaf set is bijectively mapped to  $V$ .

1116 Finally, the *modular-width* of  $G$  defined as the maximum number of children over all  
 1117 internal nodes of a modular decomposition tree.

1118 ► **Lemma 29.** *Let  $G = (V, E)$  be a graph. Then the following holds.*

$$1119 \quad \Gamma(G) = \begin{cases} \max\{\Gamma(G_1), \Gamma(G_2)\} & \text{if } G = G_1 \oplus G_2 \\ \Gamma(G_1) + \Gamma(G_2) & \text{if } G = G_1 \otimes G_2 \\ \Gamma(H[G']) & \text{if } G = H[G[M_1], \dots, G[M_k]], \end{cases}$$

1120 where  $G'$  is the graph obtained from  $G = H[G[M_1], \dots, G[M_k]]$  by replacing  $G[M_i]$  by a clique  
 1121 on  $\Gamma(G[M_i])$  vertices for each  $i \in [k]$  and maintaining a full adjacency between  $i$ -th and  $j$ -th  
 1122 cliques whenever the quotient graph  $H$  indicates an adjacency between  $M_i$  and  $M_j$ .

1123 **Proof.** When  $G = G_1 \oplus G_2$ , it is trivial to see that  $\Gamma(G) = \max\{\Gamma(G_1), \Gamma(G_2)\}$ . If  $G =$   
 1124  $G_1 \otimes G_2$ , then fix a Grundy coloring of  $G_1$  and  $G_2$  achieving  $\Gamma(G_1)$  and  $\Gamma(G_2)$  respectively,  
 1125 By reassigning color  $i + \Gamma(G_1)$  to the vertices of  $G_2$  with color  $i$ , we obtain a new coloring of  
 1126  $G$ . Obviously, it is a Grundy coloring using the claimed number of colors.

1127 Now suppose that  $G = H[G[M_1], \dots, G[M_k]]$  and notice that  $G'$  has neighborhood  
 1128 diversity  $k$  with  $i$ -th clique replacing the module  $M_i$  being a true twin class for each  $i \in [k]$ .  
 1129 We will first prove that  $\Gamma(G') \leq \Gamma(G)$ . Fix a  $\Gamma(G')$ -Grundy coloring  $V_1 \dot{\cup} \dots \dot{\cup} V_{\Gamma(G')}$  of  $G'$ ,  
 1130 and for each  $i \in [k]$ , let  $V_1^i \dot{\cup} \dots \dot{\cup} V_{\Gamma(G[M_i])}^i$  be a Grundy coloring of  $G[M_i]$  using  $\Gamma(G[M_i])$   
 1131 colors. In the Grundy coloring of  $G'$ , the vertices of  $i$ -clique gets mutually distinct colors  
 1132 and thus the number of colors taken by some vertex of  $i$ -th clique is precisely  $\Gamma(G[M_i])$ . Let  
 1133  $\sigma_i$  be the ordering of colors (from low to high) that appear in some vertex in the  $i$ -th clique  
 1134 of  $G'$ . It is trivial to verify that the following coloring of  $G$  is proper and a Grundy coloring  
 1135 with  $\Gamma(G')$  colors, thus proving that  $\Gamma(G') \leq \Gamma(G)$ .

<sup>2</sup> A graph in which every module is either a singleton or the entire vertex set is called a *prime graph*.  
 When neither  $\oplus$  nor  $\otimes$  applies, the quotient graph of  $G$  is a prime graph, which prompts the name.

<sup>3</sup> An avid reader may notice that our definition of modular decomposition slight deviates from the standard  
 one. In the standard definition, the node labeled by  $\oplus$  (resp.  $\otimes$ ) renders all connected component of  $G$   
 (resp.  $\bar{G}$ ) to be represented in its children, therefore allowing such nodes to have more than one children,  
 see [74].

1136 In each module  $M_i$  and for each color  $j \in [\Gamma(G')]$ , the vertices of  $V_j^i$  gets the color  $\sigma_i(j)$ .

1137 To prove that  $\Gamma(G') \geq \Gamma(G)$ , fix a  $\Gamma(G)$ -Grundy coloring  $V_1 \dot{\cup} \dots \dot{\cup} V_{\Gamma(G)}$  of  $G$ .

1138  $\triangleright$  **Claim 30.** The number of colors used by a module  $M_i$  is at most  $\Gamma(G[M_i])$  for each  $i$ ,  
1139 that is,  $|\{j \in [\Gamma(G)] : V_j \cap M_i \neq \emptyset\}| \leq \Gamma(G[M_i])$ .

1140 **Proof.** We claim that the number of colors used by a module  $M_i$  is at most  $\Gamma(G[M_i])$  for  
1141 each  $i$ . Suppose the contrary. Then there exists two colors  $c < c'$  and a vertex  $v$  of the  
1142 module  $M_i$  colored by  $c'$  such that  $v$ 's neighbors in color  $c$  are all belong to  $V \setminus M_i$ . Indeed,  
1143 if there is no such color pair and a vertex, then the collection of sets  $V_1 \cap M_i, \dots, V_{\Gamma(G)} \cap M_i$   
1144 contain more than  $\Gamma(G[M_i])$  non-empty sets. Such a collection provides a Grundy coloring  
1145 for  $G[M_i]$  using more than  $\Gamma(G[M_i])$ , a contradiction. However, any neighbor  $u$  of  $v$  outside  
1146 the module  $M_i$  is a neighbor of every vertex in  $M_i$ . As the color class  $V_c$  intersects with  $M_i$ ,  
1147 this means that  $V_c$  is not independent, a contradiction.  $\blacktriangleleft$

1148 Let us color the vertices of  $G'$ . By the previous claim, the following coloring can be  
1149 performed by giving each vertex of  $G'$  at most one color. That is, for each module  $M_i$ ,

1150 if color  $c$  appears in  $M_i$ , precisely one vertex from the  $i$ -th clique of  $G'$  gets color  $c$ .

1151 All the vertices of  $G'$  which did not receive any color is removed and let  $G''$  be the resulting  
1152 induced subgraph of  $G'$ . It is easy to see that the constructed coloring of  $G''$  is a Grundy  
1153 coloring, and consequently it holds that  $\Gamma(G') \geq \Gamma(G'') \geq \Gamma(G)$ . This completes the  
1154 proof.  $\blacktriangleleft$

1155 With Lemma 29 and using the result of Subsection D.1, we have a standard bottom-up  
1156 algorithm for computing the Grundy number.

1157  $\blacktriangleright$  **Theorem 31.** Let  $G = (V, E)$  be a graph of modular-width  $w$ . In time  $2^{O(w2^w)}$ , the Grundy  
1158 number of  $G$  can be computed. Furthermore, a Grundy coloring achieving the Grundy number  
1159 can be found in the same running time.

1160 **Proof.** Consider a modular decomposition tree  $T$  of  $G$ , which can be computed in linear  
1161 time, for example [74]. For each tree node  $t$  representing a vertex set  $X \subseteq V$ , we can compute  
1162 the Grundy number of  $G[X]$  assuming that the Grundy number on the graphs represented  
1163 by its children are known. Namely, if  $t$  is labeled by either  $\oplus$  or  $\otimes$ , the Grundy number of  
1164  $G[X]$  can be obtained by either taking the maximum or the sum of the two Grundy numbers  
1165 on its children. If  $G[X]$  is labeled by a quotient graph  $H$ , then note that  $H$  has at most  $w$   
1166 vertices. By Lemma 29, computing the Grundy number of  $G[X]$  is equivalent to computing  
1167 the Grundy number of a graph whose neighborhood diversity is at most  $w$ . The latter can be  
1168 done in time  $2^{O(w2^w)}$  by Theorem 28. As the leaf nodes represent singleton graphs, clearly  
1169 the Grundy number can be computed on the leaves. Repeatedly computing the Grundy  
1170 number in a bottom-to-top manner, we can compute the Grundy number of  $G$  within the  
1171 claimed running time. We omit a tedious proof on how to construct an actual  $\Gamma(G)$ -Grundy  
1172 coloring.  $\blacktriangleleft$