

## TD 7: Coloring

### 1 Edges and Colors

Show that if a graph  $G$  has  $m > 0$  edges and chromatic number  $k$ , then  $m \geq \binom{k}{2}$ .

**Solution:**

Consider a  $k$ -coloring of  $G$ ,  $V_1, V_2, \dots, V_k$ . We observe that for each  $i, j \in [k], i \neq j$  there must exist at least one edge with one endpoint in  $V_i$  and the other in  $V_j$ . Indeed, otherwise,  $V_i \cup V_j$  is an independent set, and we can color  $G$  with  $k - 1$  colors, contradicting the assumption that  $G$  has chromatic number  $k$ . Therefore,  $G$  has at least  $\binom{k}{2}$  edges.

### 2 Chromatic Number and Average Degree

Prove or disprove: if  $G$  is connected and has average degree  $d$ , then  $G$  can be colored with at most  $\lceil 1 + d \rceil$  colors.

**Solution:**

The statement is false: consider a graph made up of a  $K_5$ , a path on 95 vertices, and an edge connecting them. This graph has  $n = 100$ ,  $m = 105$ , therefore the average degree is  $2m/n < 3$ . According to the claim, the graph can be colored with 4 colors, but this is impossible, as it contains  $K_5$  as a subgraph.

**NB:** It is tempting to try to prove that the statement is true algorithmically as follows:  $G$  must contain a vertex  $v$  of degree at most  $d$ , so we can first color  $G - v$  and then insert  $v$ ; in the worst case  $v$  has  $d$  neighbors with distinct colors, so it will receive color  $d + 1$ . This proof is **false!** The error here is that  $G - v$  could (and probably will) have higher average degree than  $G$ , because  $d$  is a low-degree vertex, so the inductive hypothesis may already be using too many colors in  $G - v$ . In our example, by repeatedly deleting a low-degree vertex we end up with  $K_5$  which has average degree 4, while the original graph had average degree  $< 3$ .

### 3 Blanche Descartes Construction

We saw in class a construction due to Mycielsky that gives for each  $k \geq 2$  a graph with chromatic number  $k$  that does not contain any  $K_3$  as a subgraph. We consider now a different construction, due to Blanche Descartes. Define the sequence of graphs  $D_i$  inductively as follows:  $D_1 = K_1$ ; if  $D_i$  has  $n_i$  vertices, then  $D_{i+1}$  starts with a set  $S_{i+1}$  of  $i(n_i - 1) + 1$  vertices and for each  $S' \subseteq S_{i+1}$  with  $|S'| = n_i$  we construct a distinct copy of  $D_i$  and place a perfect matching between  $S'$  and this new copy.

1. Which construction is more efficient (has smaller  $n_i$ ), this one or the one by Mycielski? Why?
2. Prove that  $D_i$  can be colored with  $i$  colors.
3. Prove that  $D_i$  cannot be colored with  $i - 1$  colors.
4. Prove that  $D_i$  does not contain any  $C_3, C_4$ , or  $C_5$  as induced subgraphs.

**Solution:**

1. Mycielski's construction will, at each step roughly double the size of the graph, so give  $n_i = 2^{O(i)}$ . In contrast, in this construction we have that  $n_{i+1}$  is **exponential** in  $n_i$ . More specifically,  $n_{i+1}$  contains at least  $\binom{in_i}{n_i}$  vertices, which is at least  $i^{n_i}$ . Hence, this construction has graphs whose size increases as a **tower of exponentials**, and is therefore much less efficient.
2. The vertices of  $S_i$  are an independent set, so we can assign one color to them. The rest of  $D_i$  consists of disjoint copies of  $D_{i-1}$ , which by inductive hypothesis can be colored with  $i - 1$  colors.
3. Suppose that  $D_i$  can be colored with  $i - 1$  colors and  $i$  is minimum (that is,  $D_{i-1}$  needs  $i - 1$  colors to be colored properly). Suppose that the color that is used the largest number of times in  $S_i$  is color 1 and that it appears at least  $\frac{(i-1)(n_{i-1}-1)+1}{i-1} \geq n_{i-1} - 1 + \frac{1}{i-1}$  times. Since the number of appearances of color 1 in  $S_i$  is an integer, color 1 appears at least  $n_{i-1}$  times in  $S_i$ . Let  $S' \subseteq S_i$  be a set of size  $n_{i-1}$  where all vertices have color 1. Color 1 cannot be used in the copy of  $D_{i-1}$  which has a perfect matching to  $S'$ , so  $D_{i-1}$  must be using colors  $\{2, \dots, i - 1\}$ , which would give a  $(i - 2)$ -coloring of  $D_{i-1}$ , contradiction.
4. First, let us see that if  $D_i$  contains no  $C_3$ , then  $D_{i+1}$  contains no  $C_3$ . In this case a  $C_3$  in  $D_{i+1}$  would need to contain at least one new vertex from  $S_{i+1}$ . It cannot contain two such vertices, as such vertices are independent. Therefore, it must contain exactly one such vertex  $v$ . In each copy of  $D_{i-1}$  we have constructed,  $v$  has at most one neighbor, so the two remaining vertices of a supposed  $C_3$  cannot be from the same copy. However, they also cannot be from distinct copies, as there are no edges between distinct copies.

Now, suppose that  $D_{i+1}$  contains a  $C_4$  or  $C_5$ . The supposed cycle cannot contain more than two vertices of  $S_{i+1}$ , as such vertices are independent. It cannot contain exactly one vertex  $v \in S_{i+1}$ , for reasons similar to the previous paragraph, namely: if the rest of the cycle comes from a single copy of  $D_i$ , then  $v$  has degree 1 in the cycle; and if it comes from two copies and uses no other vertex of  $S_{i+1}$ , then  $v$  is a cut-vertex of the cycle (contradiction). If the cycle contains no vertex of  $S_{i+1}$ , then it can be found in  $D_i$ , contradiction. Therefore, the cycle contains two vertices  $u, v \in S_{i+1}$ . If the cycle has length at most 5, then it must have a common neighbor  $x$  of  $u, v$ . However, every vertex of  $D_{i+1}$  is adjacent to at most one of these two vertices, since we add perfect matchings for each copy of  $D_i$  we construct.

## 4 Colorings and Complements

Prove that for all  $G$  on  $n$  vertices we have  $\chi(G)\chi(\overline{G}) \geq n$ . Conclude that for all  $G$  on  $n$  vertices,  $\chi(G) + \chi(\overline{G}) \geq 2\sqrt{n}$ . Give a tight example.

**Solution:**

We have  $\chi(\overline{G}) \geq \omega(\overline{G}) = \alpha(G)$ . Therefore,  $\chi(G)\chi(\overline{G}) \geq \alpha(G)\chi(G)$  and we have seen in class that  $\chi(G) \geq \frac{n}{\alpha(G)}$ .

For the second part, if we had  $\chi(G) + \chi(\overline{G}) < 2\sqrt{n}$  this would imply that  $\chi(G)\chi(\overline{G}) < \chi(G)(2\sqrt{n} - \chi(G))$ . If we consider the right-hand side as a function of  $\chi(G)$ , this is maximized when  $\chi(G) = \sqrt{n}$  so we would have  $\chi(G)\chi(\overline{G}) < n$ , contradiction.

A tight example can be formed by taking a union of  $n$  cliques  $K_n$ , forming a graph with  $n^2$  vertices. Clearly,  $\chi(G) = n$ . The complement of this graph is a graph with  $n$  parts, each part being an independent set of size  $n$ , so  $\chi(\overline{G}) = n$ .

## 5 Colorings and König

Suppose that  $G$  has  $\chi(G) > k$  but  $V(G)$  can be partitioned into two sets  $X, Y$  such that  $G[X], G[Y]$  are both  $k$ -colorable. Then, there are at least  $k$  edges with one endpoint in  $X$  and the other in  $Y$ .

**Solution:**

Let  $X_1, \dots, X_k$  and  $Y_1, \dots, Y_k$  be the  $k$ -colorings of  $G[X], G[Y]$ . We form a bipartite graph with  $k$  vertices on each side, where the vertices of the left side represent the sets  $X_i$  and the vertices on the right side represent

the sets  $Y_i$ . We place an edge between two vertices if the sets  $X_i, Y_j$  have no edge connecting them, that is, if  $X_i \cup Y_j$  is independent.

What we want to prove now is that this bipartite graph has a perfect matching whenever there are less than  $k$  edges linking  $X$  to  $Y$ . This will lead to a contradiction as follows: each edge of the matching gives an independent set, so we can partition all of  $V(G)$  into  $k$  color classes, contradicting the hypothesis that  $\chi(G) > k$ .

Let us then prove that if  $< k$  edges connect  $X$  to  $Y$  in  $G$ , then the bipartite graph has a perfect matching. Equivalently, by König's theorem, we will show that the bipartite graph does not have a vertex cover of size  $k - 1$ . Indeed, the bipartite graph has at most  $k^2$  possible edges and each edge of  $G$  connecting  $X$  to  $Y$  eliminates at most one edge of the bipartite graph. If  $G$  has  $< k$  edges connecting  $X$  to  $Y$ , then the bipartite graph has  $\geq k(k - 1) + 1$  edges. However, each vertex of the bipartite graph has degree at most  $k$ , so can cover at most  $k$  edges. Hence, a supposed vertex cover of size  $(k - 1)$  can only cover at most  $k(k - 1)$  edges, contradiction.