<span id="page-0-0"></span>Graph Theory: Lecture 9 Cographs and Friends

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## Forbidden Subgraph Characterizations

Wider question: how does **local** structure lead to **global** structure?

- A graph is a forest if and only if it has no  $C_k$  (induced) subgraph.
- A graph is bipartite if and only if it has no  $C_{2k+1}$  (induced) subgraph.
- A graph is planar if and only if it has not  $K_{3,3}$ ,  $K_5$  topological minor.
- A graph is chordal if it contains no induced  $C_k$  subgraph, for  $k > 4$ .
- A graph is split if it contains no induced  $2K_2$ ,  $C_4$ , or  $C_5$ .
- A graph is interval if it is chordal and contains no Asteroidal Triple

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## Forbidden Subgraph Characterizations

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- A graph is split if it contains no induced  $2K_2$ ,  $C_4$ , or  $C_5$ .

A graph is interval if it is chordal and contains no Asteroidal Triple We examined what happens if we forbid long or odd induced cycles. What if we forbid paths?

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### Definition

A graph  $G$  is a cograph if for all (non-trivial) induced subgraphs  $G'$  of  $G$ , either  $G'$  or  $\overline{G'}$  is disconnected.

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### Definition

A graph  $G$  is a cograph if for all (non-trivial) induced subgraphs  $G'$  of  $G$ , either  $G'$  or  $\overline{G'}$  is disconnected.

Recall: for all  $G'$ , at least one of  $G', \overline{G'}$  is connected, so  $G$  is a cograph if exactly one of the two is connected for each induced subgraph.

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Cographs

### Definition

A graph  $G$  is a cograph if for all (non-trivial) induced subgraphs  $G'$  of  $G$ , either  $G'$  or  $\overline{G'}$  is disconnected.

Examples:

- $\bullet$  C<sub>4</sub> is a cograph
- $C_k$ ,  $k \geq 5$  is not a cograph
- $P_k$ ,  $k \geq 4$  is not a cograph

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# Cographs – Characterization

#### Theorem

The following are equivalent:

- $\bigcirc$  G is a cograph
- **2** G can be constructed from K<sub>15</sub> using **Join** and **Union** operations
- $\bullet$  G can be constructed from  $K_1s$  using **Union** and **Complement** operations
- <sup>4</sup> G contains no induced P<sub>4</sub>

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# Cographs – Characterization

### Theorem

The following are equivalent:

- **1** G is a cograph
- **2** G can be constructed from K<sub>15</sub> using **Join** and **Union** operations
- $\bullet$  G can be constructed from  $K_1s$  using **Union** and **Complement** operations
- <sup>4</sup> G contains no induced P<sub>4</sub>

Note: Implies that cograph recognition is in NP∩coNP and in fact in P. (why?)

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# Cographs and Cotrees

### Definition

A cotree of a cograph  $G$  is a rooted tree where:

- $\bullet$  Each leaf is a vertex of G.
- Each internal node is labeled 1 (Join) or 0 (Union)

The cotree shows how to construct  $G$  from individual vertices using the two operations Join and Union.

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# Cographs and Cotrees

### Definition

A cotree of a cograph  $G$  is a rooted tree where:

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Examples: Join (Union (a,b)) (Union (a,b))  $\rightarrow$   $C_4$ 

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# Cographs and Cotrees

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- $\bullet$  Each leaf is a vertex of G.
- Each internal node is labeled 1 (Join) or 0 (Union)

The cotree shows how to construct  $G$  from individual vertices using the two operations Join and Union.

### Examples:



Lemma

G is a cograph if and only if G has a cotree.



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#### Lemma

G is a cograph if and only if G has a cotree.

### Proof.

Proof by induction:

- G is cograph  $\Rightarrow$  G has a cotree
	- G is cograph  $\Rightarrow$  G is disconnected or  $\overline{G}$  is disconnected into components  $C_1, \ldots, C_k$ .
	- $\bullet$  By inductive hypothesis, we have a cotree for each  $C_i$
	- $\bullet$  If G disconnected, take Union of cotrees; if not, take Join of cotrees.
- G is cograph  $\Leftarrow$  G has a cotree
	- If root of tree is 0, G is disconnected into components  $C_1, \ldots, C_k$ .
	- Any induced subgraph contained in a  $C_i$  is good by IH.
	- Any subgraph with vertices from two components is disconnected.
	- Proof is symmetric if root is 1.

#### Lemma

G is a cograph if and only if G has no induced  $P_4$ .

Proof.



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#### Lemma

G is a cograph if and only if G has no induced  $P_4$ .

### Proof.

G is cograph  $\Rightarrow$  no induced  $P_4$ : Easy:  $P_4 = \overline{P_4}$ , so if G contains  $P_4$ , G contains an induced subgraph that proves that it is not a cograph.

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#### Lemma

G is a cograph if and only if G has no induced  $P_4$ .

### Proof.

G is cograph  $\Leftarrow$  no induced  $P_4$ :

Proof by induction on the size of G

- Let  $x \in V(G)$  and consider  $G x$ , apply IH,  $G x$  is cograph.
- Suppose wlog that  $G x$  is disconnected into  $C_1, C_2, \ldots, C_k$ (otherwise take its complement)
- $\bullet$  If x is universal:
	- All subgraphs that contain  $x$  have disconnected complements.
	- All other subgraphs are OK by IH.

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#### Lemma

G is a cograph if and only if G has no induced  $P_4$ .

### Proof.

G is cograph  $\Leftarrow$  no induced  $P_4$ :

Proof by induction on the size of G

- $\bullet$  Then, x is not universal.
- If  $x$  has no neighbor in a component  $C_i$ :
	- Let  $a \in V(C_i)$
	- Subgraphs without  $x \Rightarrow$  Good! (IH)
	- Subgraphs without  $a \Rightarrow$  Good! (IH)
	- Subgraphs with a and  $x \Rightarrow$  disconnected, Good!

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#### Lemma

G is a cograph if and only if G has no induced  $P_4$ .

### Proof.

G is cograph  $\Leftarrow$  no induced  $P_4$ :

Proof by induction on the size of G

- Then, x is not universal and x has a neighbor is each component.
- Let  $ax \notin E$ ,  $bx \in E$ ,  $a, b \in C_1$
- Let  $cx \in E$ ,  $c \in C_2$
- Then,  $a \rightarrow b, x, c$  induces a  $P_k$ ,  $k > 4$ , contradiction!

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### Theorem

The following are polynomial-time solvable:

- Deciding if G is a cograph.
- Computing the max independent set of a cograph.
- Computing the max clique of a cograph.
- Computing the chromatic number of a cograph.

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### Theorem

The following are polynomial-time solvable:

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Proof.

Construct a cotree recursively

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#### Theorem

The following are polynomial-time solvable:

- Deciding if G is a cograph.
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- Computing the chromatic number of a cograph.

Proof.

- If  $G = G_1 \cup G_2$ , return  $\alpha(G_1) + \alpha(G_2)$ .
- If  $G = G_1 \times G_2$ , return max $\{\alpha(G_1), \alpha(G_2)\}.$

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### Theorem

The following are polynomial-time solvable:

- Deciding if G is a cograph.
- Computing the max independent set of a cograph.
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- Computing the chromatic number of a cograph.

### Proof.

Run previous algorithm on complement of G.

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### Theorem

The following are polynomial-time solvable:

- Deciding if G is a cograph.
- Computing the max independent set of a cograph.
- Computing the max clique of a cograph.
- Computing the chromatic number of a cograph.

Proof.

- If  $G = G_1 \cup G_2$ , return max $\{\chi(G_1), \chi(G_2)\}.$
- If  $G = G_1 \times G_2$ , return  $\chi(G_1) + \chi(G_2)$ .

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# <span id="page-23-0"></span>[More graph classes!](#page-23-0)



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## Where we are



## Perfect Graphs

### Definition

A graph  $G$  is perfect if for every induced subgraph  $G'$  we have  $\chi(G') = \omega(G').$ 



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## Perfect Graphs

### Definition

A graph  $G$  is perfect if for every induced subgraph  $G'$  we have  $\chi(G') = \omega(G').$ 

- Defined by Berge in the 1960's
- Closure under complement open for 10 years (Lovasz 1970's)
- Forbidden subgraph characterization open for 40 years (Chudnovsky et al. 2006)
- Generalize many poly-time solvable cases of independent set, clique, coloring.

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## Perfect Graphs

### Definition

A graph  $G$  is perfect if for every induced subgraph  $G'$  we have  $\chi(G') = \omega(G').$ 

### Theorem (Weak Perfect Graph Theorem)

G is perfect if and only if  $\overline{G}$  is perfect.

### Theorem (Strong Perfect Graph Theorem)

G is perfect if and only if G has no  $C_{2k+1}$  or  $\overline{C}_{2k+1}$  induced subgraph, for  $k \geq 2$  (no odd holes or anti-holes).

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## Bipartite Graphs are Perfect

Theorem

If G is bipartite, then G is perfect.



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# Bipartite Graphs are Perfect

#### Theorem

If G is bipartite, then G is perfect.

### Proof.

Straight from definition:  $G'$  non-empty induced subgraph of  $G \Rightarrow G'$ bipartite  $\Rightarrow \omega(G') = 2$  and  $\chi(G') = 2$ .

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# Bipartite Graphs are Perfect

#### Theorem

If G is bipartite, then G is perfect.

### Proof.

Straight from definition:  $G'$  non-empty induced subgraph of  $G \Rightarrow G'$ bipartite  $\Rightarrow \omega(G') = 2$  and  $\chi(G') = 2$ .

### Proof.

(Using Strong PG theorem) G bipartite, so G has no odd holes.  $\overline{C}_5 = C_5$ is also not in G.  $\overline{C}_{2k+1}$ , for  $2k+1 \ge 7$  contains a  $K_3$ , so also not in G.

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# Cographs are Perfect

Theorem

If G is a cograph, then G is perfect.



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# Cographs are Perfect

#### Theorem

If G is a cograph, then G is perfect.

### Proof.

(Using Strong PG theorem)

- G is cograph  $\Rightarrow$  all induced subgraphs G' which are connected have  $\overline{G}'$  disconnected.
- If  $G$  had a  $G' = \mathsf{C}_{2k+1}$  (or  $G' = \overline{\mathsf{C}}_{2k+1}$ ), for  $k \geq 2$  as an induced subgraph, then  $G', \overline{G}'$  are both connected, contradiction.

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# Cographs are Perfect

#### Theorem

If G is a cograph, then G is perfect.

### Proof.

Direct application of definition and induction:

- If G is disconnected,  $\omega(G)$  is max over all components,  $\chi(G)$  is max over all components, by IH in each component C,  $\omega(C) = \chi(C)$ .
- If G is connected,  $\omega(G)$  is sum over all components,  $\chi(G)$  is sum over all components, by IH in each component C,  $\omega(C) = \chi(C)$ .

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## Chordal Graphs are Perfect

Theorem

If G is chordal, then G is perfect.



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# Chordal Graphs are Perfect

#### Theorem

If G is chordal, then G is perfect.

### Proof.

Direct application of definition and induction:

- $\bullet$  Let x be a simplicial vertex. Two cases:
	- $\omega(G) = \omega(G x) + 1$ . By IH  $\omega(G x) = \chi(G x) > \chi(G) 1$  so  $\omega(G) > \chi(G) \Rightarrow \omega(G) = \chi(G).$
	- $\omega(G) = \omega(G x) = \chi(G x)$ . In this case,  $\chi(G x) > \deg(x) + 1$ , because  $\omega(G)$  > deg(x) + 1. So, after coloring  $G - x$  there is always an available color for x.

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# Chordal Graphs are Perfect

#### Theorem

If G is chordal, then G is perfect.

### Proof.

Using Strong PG theorem

- G is chordal  $\Rightarrow$  no odd holes or  $\overline{C}_5$
- If G has a  $\overline{C}_{2k+1}$  for  $2k+1 \ge 7$  as induced subgraph, call its vertices  $x_1, x_2, \ldots, x_{2k+1}$ .
- Observe that  $x_1, x_3, x_{2k+1}, x_4$  induces a  $C_4$  contradiction.

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# <span id="page-37-0"></span>[An Application](#page-37-0)



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# Line Graphs of Bipartite Graphs are Perfect

Theorem

If  $G$  is bipartite, then  $L(G)$  is perfect.



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# Line Graphs of Bipartite Graphs are Perfect

#### Theorem

If G is bipartite, then  $L(G)$  is perfect.

#### Proof.

### Using Strong PG theorem

- $\bullet$  G is bipartite, contains no odd holes, so  $L(G)$  contains no odd holes.
- If  $L(G)$  has a  $\overline{C}_{2k+1}$  for  $2k+1 \ge 7$  as induced subgraph, call its vertices  $x_1, x_2, ..., x_{2k+1}$ .

• Consider  $x_1, x_3, x_4, x_5, x_6$ , each corresponding to an edge  $a_i b_i$  of G

- $x_1$  is adjacent to all others, say  $a_3 = a_1$  so  $b_1 \neq b_3$
- **Because**  $x_3, x_4$  non-adjacent,  $a_4 \neq a_1$ ,  $b_4 = b_1$
- Because  $x_4, x_5$  non-adjacent,  $b_5 \neq b_4$ ,  $a_5 = a_1 = a_3$
- Because  $x_5, x_6$  non-adjacent,  $a_6 \neq a_1$ ,  $b_6 = b_4 = b_1$
- But  $x_3, x_6$  adjacent, while  $b_3 \neq b_6$  and  $a_3 \neq a_6!!$

# <span id="page-40-0"></span>An application

### Theorem (Again?)

If G is bipartite, then its maximum matching equals its minimum vertex cover.

Proof

- $L(G)$  is perfect  $\Rightarrow \overline{L(G)}$  is perfect
- $\alpha(L(G)) = \overline{\chi}(L(G))$ 
	- $\alpha(L(G))$  is just max matching of G
	- $\sqrt{\chi}(L(G))$  is minimum clique cover
	- Cliques of  $L(G)$  are vertices of G
	- $\bullet \Rightarrow \overline{\chi}(L(G))$  is minimum vertex cover of G

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