

# Parameterized Complexity and Approximation Issues for the Colorful Components Problems

Riccardo Dondi<sup>1</sup>   Florian Sikora<sup>2</sup>

<sup>1</sup>Università degli Studi di Bergamo – Italy

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# Outline

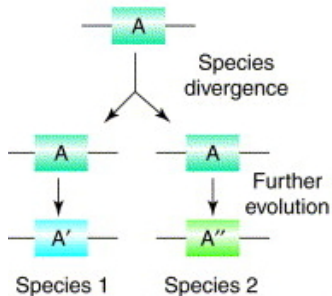
## Introduction

MCC

MEC

# Motivations from Comparative Genomics.

Find orthologous genes.

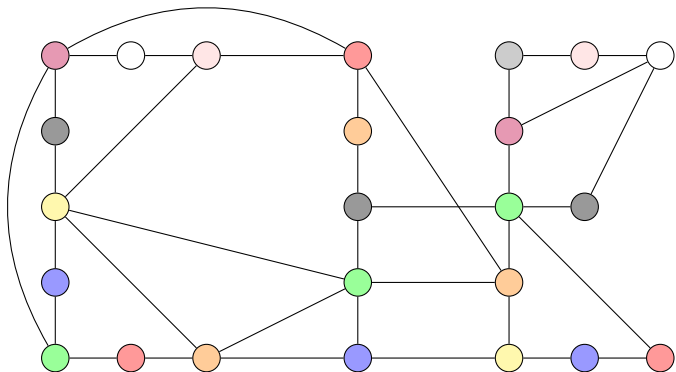


[TRENDS IN GENETICS]

# Motivations

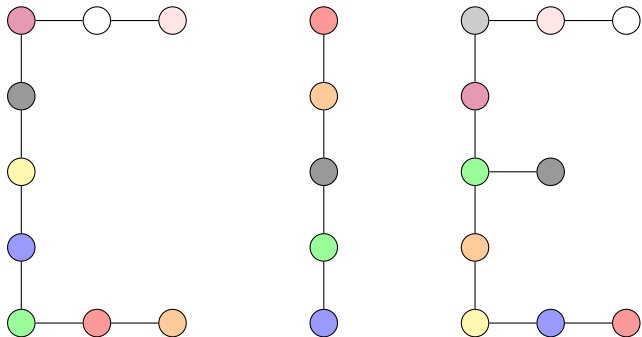
- ▶ Graph approach to identify disjoint orthology sets in [WABI'11].
- ▶ Partition a **vertex-colored** graph in **colorful** components by removing edges.
- ▶ Different optimization measures:
  - ▶ Number of components in the solution [LATIN'14].
  - ▶ Sum of the number of edges in the transitive closure [WABI'11].

# Minimum Colorful Components (MCC)



Remove edges to **minimize** the number of **colorful** components.

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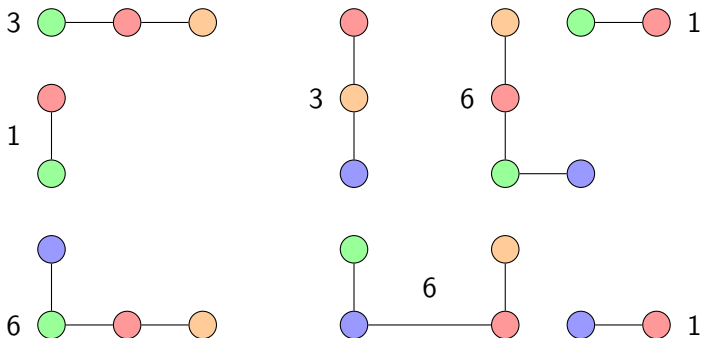


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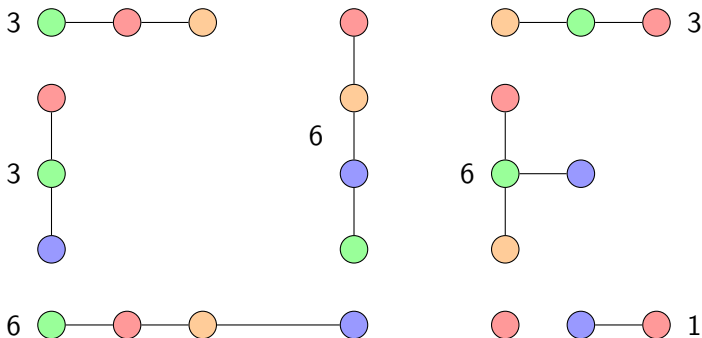
# Maximum Edges in Transitive Closure (MEC)



Remove edges to have **colorful** components and **maximize** the number of edges in the transitive closure.

Here:  $3 + 1 + 6 + 3 + 6 + 6 + 1 + 1 = 27$

## Maximum Edges in Transitive Closure (MEC)

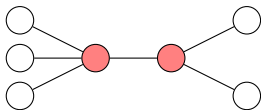


Remove edges to have **colorful** components and **maximize** the number of edges in the transitive closure.

Here:  $3 + 3 + 6 + 6 + 3 + 6 + 1 = 28$

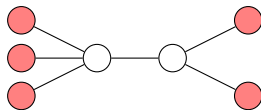
# Parameterized Complexity

**Problem:** VERTEX COVER  
**Input:** Graph  $G$ , integer  $k$   
**Question:** Cover edges with  $k$  vertices



**Compl.:**

**Problem:** INDEPENDENT SET  
**Input:** Graph  $G$ , integer  $k$   
**Question:** Find  $k$  independent vertices

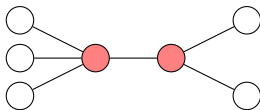


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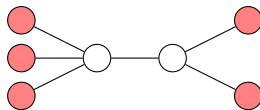
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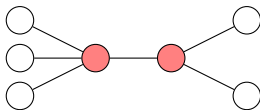
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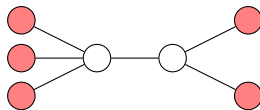
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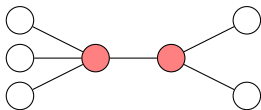


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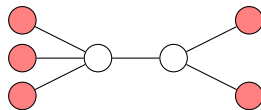


**Compl.:**  
**Complexity:** NP-complete  
**Brute-force:**  $O(n^k)$  possibilities  
**Smarter?:**

$O(2^k n^2)$  algorithm



**INDEPENDENT SET**  
**Graph  $G$ , integer  $k$**   
**Find  $k$  independent vertices**



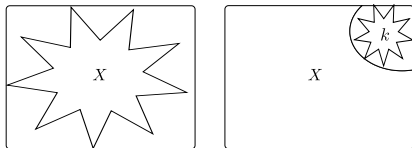
**NP-complete**  
 $O(n^k)$  possibilities

**No  $f(k)n^{O(1)}$  algorithm exists**



## Fixed-Parameter Tractability

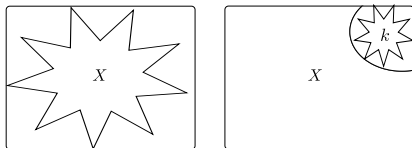
- ▶ Problem in FPT: any instance  $(I, k)$  solved in  $f(k) \cdot |I|^c$ .



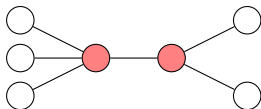
- ▶ Examples:
  - ▶ Solution of size  $k$  in a  $n$ -vertices graph.
  - ▶  $n$  voters for  $k$  candidates.
  - ▶ Requests of size  $k$  in a  $n$ -sized database.
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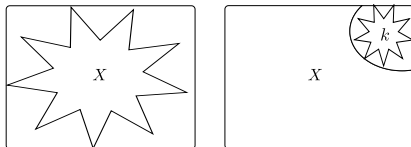
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- ▶ Many way to parameterize.
  - ▶ Solution size.





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  - ▶ ...
- ▶ Many way to parameterize.
  - ▶ Solution size.
  - ▶ Structure of the input.
  - ▶ ...



# How to obtain FPT algorithm?

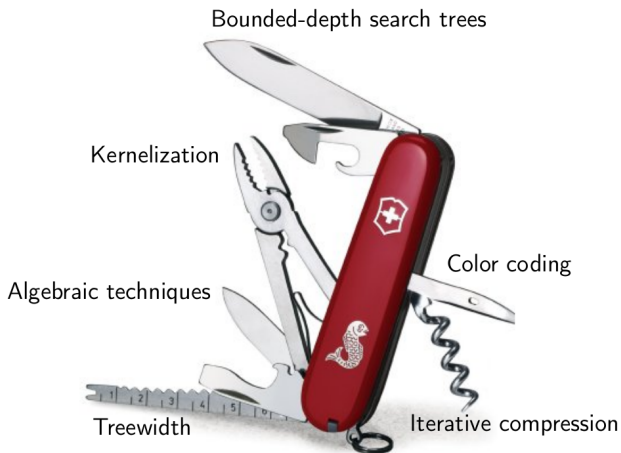
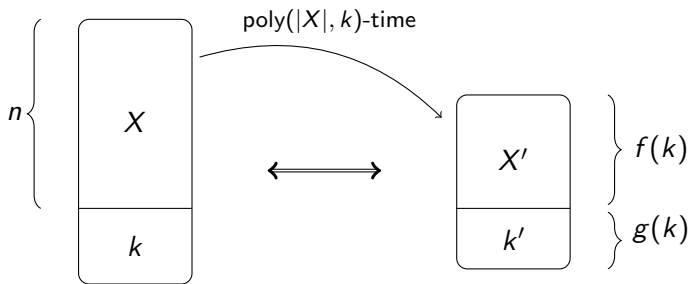


Illustration D. Marx.

# Kernelization



# Outline

Introduction

**MCC**

MEC

# MCC

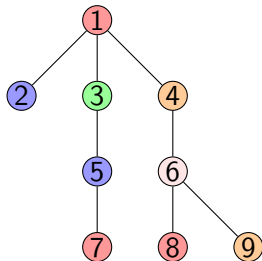
- ▶ Known:
  - ▶ Not approximable within  $O(n^{1/14-\varepsilon})$  [LATIN'14].
  - ▶ No  $n^{f(k)}$  algorithm ( $k$  number of components) [LATIN'14].

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  - ▶ No  $n^{f(k)}$  algorithm ( $k$  number of components) [LATIN'14].
- ▶ New:
  - ▶ On **trees**, not approximable within  $1.36 - \varepsilon$  but 2-approximable, **FPT** and polynomial kernel.
  - ▶ Polynomial on **paths** but NP-hard for graph with distance to disjoint paths 1.

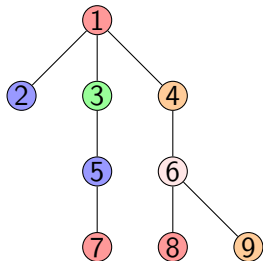
# MCC in trees is Multicut in trees - Positive

- ▶ From a MCC instance on trees...



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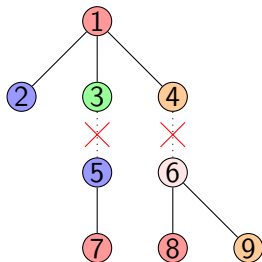


- ▶ ... create a instance of Multicut with the same tree and:
  - ▶  $(1, 7), (1, 8), (7, 8), (2, 5), (4, 9)$  to separate.



## MCC in trees is Multicut in trees - Positive

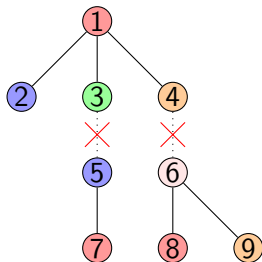
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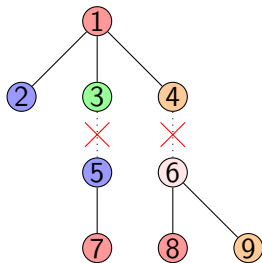
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  - ▶  $k$  edges to cut  $\leftrightarrow k + 1$  colorful components in the tree.

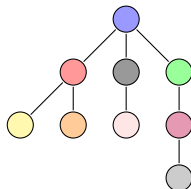
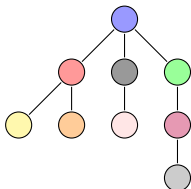
# MCC in trees is Multicut in trees - Positive

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- ▶ ... create a instance of Multicut with the same tree and:
  - ▶  $(1, 7), (1, 8), (7, 8), (2, 5), (4, 9)$  to separate.
- ▶  $k$  edges to cut  $\leftrightarrow k + 1$  colorful components in the tree.
  - ▶  $k^3$  (bi)-kernel.
  - ▶ Solvable in  $O^*(1.56^k)$ .
  - ▶ 2-approximable.

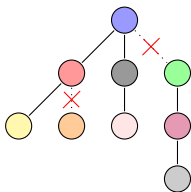
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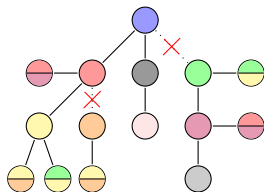
MCC



# MCC in trees is Multicut in trees – Negative



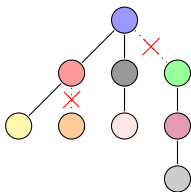
Terminals:  $(\text{red}, \text{pink}), (\text{yellow}, \text{orange}), (\text{green}, \text{yellow})$



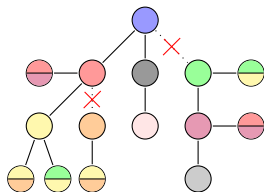
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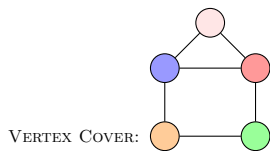
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MCC

- ▶  $k$  edges to cut  $\leftrightarrow k + 1$  colorful components
  - ▶ MCC not approximable within  $1.36 - \epsilon$  unless  $P=NP$ .

# MCC hardness



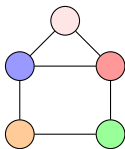
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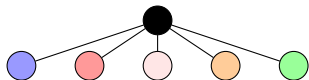


# MCC hardness

VERTEX COVER:

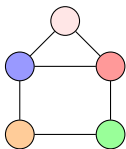


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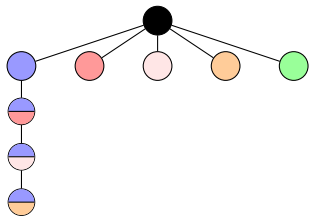


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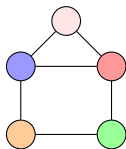


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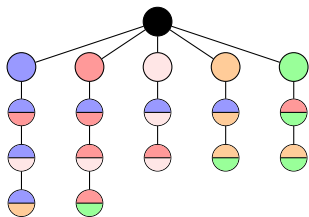


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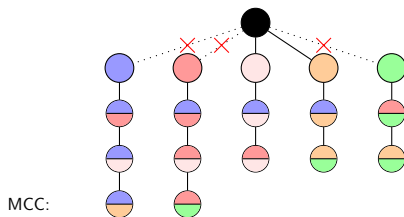
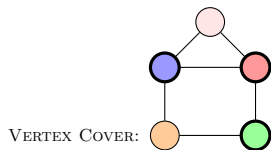
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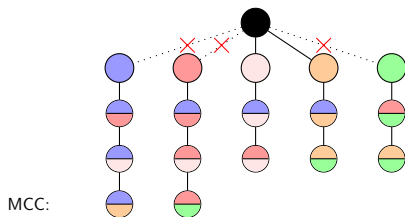
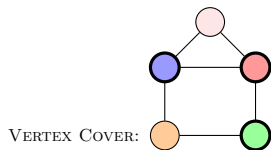


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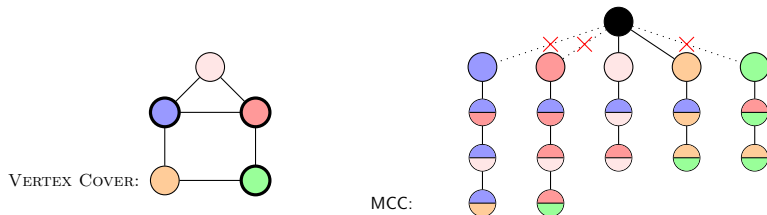
- ▶ vertex cover of size  $k \leftrightarrow k + 1$  colorful components.

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- ▶ vertex cover of size  $k \leftrightarrow k + 1$  colorful components.
- ▶ NP-hard for graphs with distance to disjoint paths 1.
  - ▶ No  $O(n^{f(k)})$  algorithm for  $k =$ 
    - ▶ distance to disjoint paths (but poly on paths via DP),
    - ▶ pathwidth,
    - ▶ distance to interval graphs.

# Outline

Introduction

MCC

**MEC**

# MEC

- ▶ Known:
  - ▶ APX-hard even with 3 colors [IWOCA'14]
  - ▶ Not approximable within  $O(n^{1/3-\epsilon})$ , even for trees where each color appears twice [IWOCA'14].
  - ▶  $\sqrt{2} \cdot \overline{OPT}$  approximable [IWOCA'14].

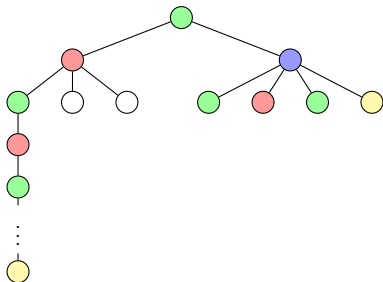


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  - ▶  $\sqrt{2} \cdot OPT$  approximable [IWOCA'14].
- ▶ New:
  - ▶ **FPT** w.r.t. size of the solution.
  - ▶ Kernel polynomial on **trees**, exponential on graphs.
  - ▶ Polynomial on **paths** but NP-hard for graph with distance to disjoint paths 1.

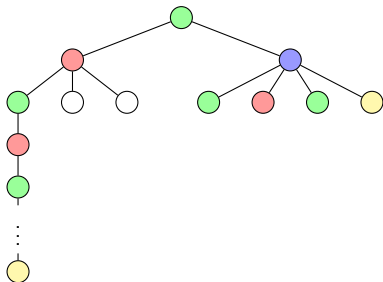
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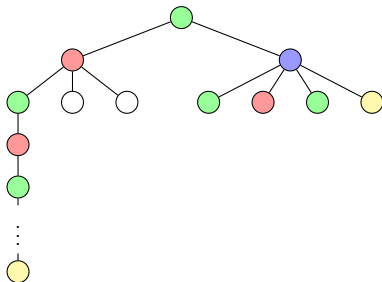
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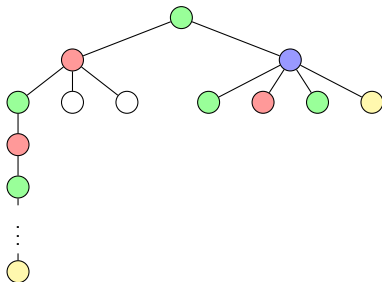
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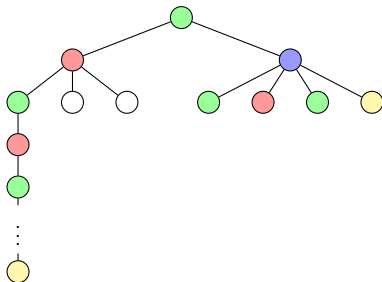
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- ▶ If an ancestor with  **$\sqrt{2k}$  leafs of different colors**: done.

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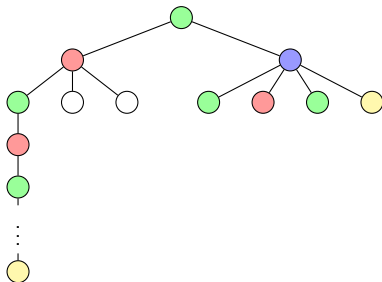
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  - ▶ Remove **all but one** occurrence of each color for an ancestor.

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- ▶ If an ancestor with  **$\sqrt{2k}$  leaves of different colors**: done.
  - ▶ Remove **all but one** occurrence of each color for an ancestor.
- ▶ Tree with  **$O(k^2)$  nodes**.

# A kernel for graphs

- ▶ Can be extended to general graphs.
- ▶ Use a DFS giving a spanning tree.
- ▶ But gives exponential kernel.



# Open questions

- ▶ Kernel lower bound?
- ▶ Fine grained complexity?
- ▶ More structural results?
- ▶ Parameterization above guarantee?

Merci!